

STATE OF HAWAII
DEPARTMENT OF TRANSPORTATION
HIGHWAYS DIVISION

ADDENDUM NO. 4

FOR

**KAMEHAMEHA HIGHWAY
REPAIR AND REPAINT KARSTEN THOT BRIDGE
FEDERAL AID PROJECT NO. BR-080-1(012)
DISTRICT OF WAHIAWA
ISLAND OF OAHU
FY 2014**

The following amendments shall be made to the Bid Documents:

A. SPECIAL PROVISIONS

1. Replace Table of Contents Pages 1 to 3 dated 9/24/14 with the attached Table of Contents Pages 1 to 3 dated 12/15/14.
2. Replace Disadvantaged Business Enterprise (DBE) Requirements dated 9/27/12 with the attached Disadvantaged Business Enterprise Requirements dated 12/5/14.
3. Replace Federal Wage Rates dated 11/14/14 with the attached Federal Wage Rates dated 11/21/14.
4. Replace Proposal Pages P-2 to P-6 dated 5/5/14 with the attached Proposal Pages P-2 to P-6 dated 12/5/14.
5. Replace Confirmation by DBE dated 4/15/02 with the attached Confirmation by DBE dated Rev. 12/5/14.
6. Replace DBE Participation Report & Prompt Payment Certification dated 8/17/09 with the attached UDBE Participation Report & Prompt Payment Certification dated 12/2014.

Please acknowledge receipt of this Addendum No. 4 by recording the date of its receipt in the space provided on page P-4 of the Proposal.



FORD N. FUCHIGAMI
Director of Transportation

BR-080-1(012)

**Addendum No. 4
12/15/14**

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DISADVANTAGED BUSINESS ENTERPRISE REQUIREMENTS

I. GENERAL

This project is subject to Title 49, Code of Federal Regulations, Part 26, entitled "Participation by Disadvantaged Business Enterprise in Department of Transportation Financial Assistance Programs," hereinafter referred to as the ("DBE Regulations") and is incorporated and made a part of this contract herein by this reference. The following shall be incorporated as part of the contract documents for compliance. If any requirements herein are in conflict with the general provisions or special provisions applicable to this project, the requirements herein shall prevail unless specifically superseded or amended in the special provisions or by addendum.

II. POLICY

It is the policy of the U.S. Department of Transportation ("USDOT") and the State of Hawaii, Department of Transportation and its political subdivisions ("Department") that Disadvantaged Business Enterprises ("DBE"), as defined in the DBE Regulations, have an equal opportunity to receive and participate in federally assisted contracts.

III. UNDERUTILIZED DBEs

An Underutilized DBE ("UDBE") is a firm that meets the definition of a DBE and is a member of one of the following groups:

1. Hispanic Americans;
2. Native Americans (including Native Hawaiians);
3. African Americans; and
4. Women.

References to DBEs include UDBEs, but references to UDBEs do not include all DBEs.

IV. DBE ASSURANCES

Each contract signed with a contractor (and each subcontract the prime contractor signs with a subcontractor) shall include the following assurance:

"The contractor, sub-recipient, or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of USDOT assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate which may include, but is not limited to; 1) withholding monthly progress payments; 2) assessing sanctions; 3) liquidated damages; and/or 4) disqualifying the contractor from future bidding as non-responsible."

The contractor agrees to include the above statements in any subsequent contracts that it enters into with other contractors, and shall require those contractors to include similar statements in further agreements.

V. BIDDER/OFFEROR RESPONSIBILITIES

All bidders/offerors are required to register with the Department's Office of Civil Rights (OCR), DBE Section, using the Bidder Registration Form which can be downloaded from the Department's website at <http://hawaii.gov/dot/administration/ocr/DBE>. Certified DBEs are considered registered with the Department and are not required to submit a Bidder Registration Form. All other bidders/offerors are required to complete this form which may be faxed to 808-587-2025, e-mailed to: melanie.martin@hawaii.gov, or mailed to the OCR DBE Section, 869 Punchbowl Street, Room 112, Honolulu, Hawaii 96813. Registered bidders/offerors are posted on the website listed above.

Bidders/offerors, suppliers, and subcontractors shall fully inform themselves with respect to the requirements of the DBE Regulations. Particular attention is directed to the following matters:

- A. Bidders/offerors shall take all necessary steps to ensure that DBEs have an opportunity to participate in this contract.
- B. DBEs may participate as a consultant, prime contractor, subcontractor, trucker, or vendor of materials or supplies. DBEs may also team with other DBE or non-DBE firms as part of a joint venture or partnership.
- C. Agreements between a bidder/offeror and a DBE in which a DBE promises not to provide subcontracting quotations to other bidders/offerors are strictly prohibited.
- D. A DBE shall be certified by the Department under the appropriate North American Industry Classification System (NAICS) code and work in their registered field of work in order for credit to be allowed.
- E. Information regarding the current certification status of DBEs is available on the Internet at <http://hawaii.gov/dot/administration/ocr/DBE>.
- F. Commercially Useful Function ("CUF"). A DBE must perform a CUF. This means that a DBE must be responsible for the execution of a distinct element of the work, must carry out its responsibility by actually performing, managing, and supervising at least 30% of the work involved by using its own employees and equipment, must negotiate price, determine quality and quantity, order and install material (when applicable), and must pay for the material itself.¹

¹ The use of joint checks payable to a DBE subcontractor and supplier may be allowed to purchase materials and supplies under limited circumstances. See VIII USE OF JOINT CHECKS UNDER THE DBE PROGRAM

To determine whether a DBE is performing a CUF, the Department must evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing, the DBE credit claimed for performance of the work, and other relevant factors. The prime contractor is responsible to ensure that the DBE performs a CUF.

VI. PROPOSAL REQUIREMENTS

- A. UDBEs must be certified by the bid opening date.
- B. UDBE manufacturers, suppliers and any second tier subcontractors shall be listed in the proposal in order to receive credit.
- C. Copies or faxes of all "Confirmation by DBE" forms signed by each DBE listed in the proposal shall be submitted to the Project Manager listed in the proposal **five (5) days after bid opening.**² Information to be provided on the form shall include the name of the DBE, address, project name and number, prime contractor name, appropriate NAICS code and description of the type of work the DBE is certified to perform under this contract. Failure to provide this completed form may be cause for bid/proposal rejection.
- D. The dollar amount of each subcontract (both DBE and non-DBE firms) for all subcontractors, manufacturers and suppliers listed in the proposal shall be submitted within five (5) calendar days of bid opening. Failure to comply with this requirement for all bidders, whether they are the low bidder or not, may result in bid rejection.
- E. In a negotiated procurement, including a design-build procurement, the bidder/offeror may make a contractually binding commitment to meet the goal at the time of bid submission or the presentation of initial proposals, but must provide the information required above, before the final selection for the contract is made by the Department.
- F. If the contract goal is not met, all bidders, whether the low bidder or not, are required to submit quotations for both a UDBE and non-UDBE subcontractor when a non-UDBE is selected over a UDBE for the project, five (5) calendar days after bid opening.
- G. Calculation of the UDBE contract goal for this project is the proportionate contract dollar value of work performed, materials, and goods to be supplied by UDBEs. This UDBE contract goal is applicable to all the contract work performed for this project and is calculated as follows:

² In computing calendar days, the day from which the period begins to run is not counted, and when the last day of the period is a Saturday, Sunday, or Federal or State holiday, the period extends to the next day that is not a Saturday, Sunday, or holiday.

UDBE contract goal percentage = Contract Dollar Value of the work to be performed by UDBE subcontractors and manufacturers, plus 60% of the contract dollar value of UDBE suppliers, divided by the sum of all contract items (sum of all contract items is the total amount for comparison of bids less mobilization, force account items, and allowance items).

The Department shall adjust the bidder's/offeror's UDBE contract goal to the amount of the project goal if it finds that the bidder/offeror met the goal but erroneously calculated a lower percentage. If the amount the bidder/offeror submits as its contract goal exceeds the project goal, the bidder/offeror shall be held to the higher goal.

VII. COUNTING UDBE PARTICIPATION TOWARDS CONTRACT GOAL

- A. Count the entire amount of the portion of a contract (or other contract not covered by paragraph B below) that is performed by the UDBE's own forces. Include the cost of supplies and materials obtained by the UDBE for the work on the contract, including supplies purchased or equipment leased by the UDBE (except supplies and equipment the UDBE subcontractor purchases or leases from the prime contractor or its affiliate).
- B. Count the entire amount of fees or commissions charged by a UDBE firm for providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a USDOT-assisted contract, toward UDBE goals, provided the Department determines the fee to be reasonable and not excessive as compared with fees customarily allowed for similar services.
- C. When a UDBE subcontracts part of the work of its contract to another firm, the value of the subcontracted work may be counted toward UDBE goals only if the UDBE's subcontractor is itself a UDBE. Work that a UDBE subcontracts to a non-UDBE firm does not count toward UDBE goals.
- D. When a UDBE performs as a participant in a joint venture, count a portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the work of the contract that the UDBE performs with its own forces toward UDBE goals.
- E. Count expenditures to a UDBE contractor toward UDBE goals only if the UDBE is performing a CUF on that contract.
- F. The following is a list of appropriate UDBE credit to be allowed for work to be performed by a UDBE subcontractor. Count expenditures with UDBEs for materials or supplies toward UDBE goals as provided in the following:
 - 1. If the materials or supplies are obtained from a UDBE manufacturer, count 100 percent of the cost of the materials or supplies toward UDBE goals;

2. For purposes of determining UDBE goal credit, a manufacturer is a firm that operates or maintains a factory or establishment that produces (on the premises) the materials, supplies, articles, or equipment required under the contract and of the general character described by the specifications;
3. If the materials or supplies are purchased from a UDBE regular dealer, count 60 percent of the cost of the materials or supplies toward UDBE goals;
4. For purposes of determining UDBE goal credit, a regular dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business;
5. To be a regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question;
6. A person may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business as provided in the DBE Regulations, if the person both owns and operates distribution equipment for the products. Any supplementing of a regular dealers' own distribution equipment shall be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis;
7. Packers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not regular dealers;
8. With respect to materials or supplies purchased from a UDBE, which is neither a manufacturer nor a regular dealer, count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site, toward UDBE goals, provided that the Department determines the fees to be reasonable and not excessive as compared with fees customarily allowed for similar services. Do not count any portion of the cost of the materials and supplies themselves toward UDBE goals; however,
9. If a firm is not currently certified as a UBE in accordance with standards of this part at the time of the execution of the contract, do not count the firm's participation toward any UDBE goals, except as provided for in §26.87(i);
10. Do not count the dollar value of work performed under a contract with a firm after it has ceased to be certified toward the Department's overall goal; and
11. Do not count the participation of a UDBE subcontractor toward a contractor's final compliance with its UDBE obligations on a contract until the amount being counted has actually been paid to the UDBE.

G. The following factors are used in counting UDBE participation for trucking companies:

1. The UDBE must be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there cannot be a contrived arrangement for the purpose of meeting UDBE goals;
2. The UDBE must itself own and operate at least one (1) fully licensed, insured, and operational truck used on the contract;
3. The UDBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs;
4. The UDBE may lease trucks from another UDBE firm, including an owner-operator who is certified as a UDBE. The UDBE who leases trucks from another UDBE receives credit for the total value of the transportation services the lessee UDBE provides on the contract;
5. The UDBE may also lease trucks from a non-UDBE firm, including from an owner-operator. The UDBE that leases trucks equipped with drivers from a non-UDBE is entitled to credit for the total value of transportation services provided by non-UDBE leased trucks equipped with drivers not to exceed the value of transportation services on the contract provided by UDBE-owned trucks or leased trucks with UDBE employee drivers. Additional participation by non-UDBE owned trucks equipped with drivers receives credit only for the fee or commission it receives as a result of the lease arrangement. If a recipient chooses this approach, it must obtain written consent from the appropriate Department operating administration.

EXAMPLE: UDBE firm X uses two (2) of its own trucks on a contract, leases two (2) trucks from UDBE Firm Y and six (6) trucks from non-UDBE Firm Z. UDBE credit would be awarded for the total value of transportation services provided by Firm X and Firm Y, and may also be awarded for the total value of transportation services provided by four (4) of the six (6) trucks provided by Firm Z. In all, full credit would be allowed for the participation of eight (8) trucks. With respect to the other two (2) trucks provided by Firm Z, UDBE credit could be awarded only for the fees or commissions pertaining to those trucks Firm X receives as a result of the lease with Firm Z;

6. The UDBE may lease trucks without drivers from a non-UDBE truck leasing company. If the UDBE leases trucks from a non-UDBE truck leasing company and uses its own employees as drivers, it is entitled to credit for the total value of these hauling services.

EXAMPLE: UDBE Firm X uses two (2) of its own trucks on a contract. It leases two (2) additional trucks from non-UDBE Firm Z. Firm X uses its own employees to drive the trucks leased from Firm Z. UDBE credit would be awarded for the total value of the transportation services provided by all four (4) trucks; and

7. For purposes of determining whether a trucking firm performs a CUF, a lease must indicate that the UDBE has exclusive use of and control over the truck. This does not preclude the leased truck from working for others during the term of the lease with the consent of the UDBE, so long as the lease gives the UDBE absolute priority for use of the leased truck. Leased trucks must display the name and identification number of the UDBE.

- H. The bidder/offeror may be a joint venture or partnership that has a certified UDBE as a partner. A "Joint Venture" means an association between a UDBE firm and one (1) or more other firms to carry out a single, for-profit, business enterprise for which the parties combine their property, capital, efforts, skills and knowledge, and in which the UDBE is responsible for a distinct, clearly defined portion of the work of the contract, and whose share in the capital contribution, control, management, risks and profits are commensurate with its ownership interest.

- I. Effects of a Summary Suspension of a UDBE. When a UDBE's certification is suspended, the UDBE may not be considered to meet a contract goal on a new contract and any work it does on a contract received during the suspension shall not be counted towards the overall goal. The UDBE may continue to perform work under an existing contract executed before the UDBE received a Notice of Suspension and may be counted towards the contract goal during the period of suspension as long as the UDBE is performing a CUF under the existing contract.

- J. Effects of Decertification of a UDBE. Should a UDBE become decertified during the term of the subcontract for reasons beyond the control of and with no fault or negligence on the part of the contractor, the work remaining under the subcontract may be credited towards the contract goal, but are not included in the overall accomplishments.

Should the UDBE be decertified after contract award and before notice to proceed, the contractor must still meet the UDBE goal by either; a) withdrawing the subcontract from the UDBE and expending good faith efforts to replace it with a UDBE that is currently certified for that same work; or b) continuing with the subcontract with the decertified firm and expending good faith efforts to find other work not already subcontracted out to UDBEs in an amount to meet the UDBE goal either by; 1) increasing the participation of other UDBEs on the project; 2) documenting good faith efforts; or 3) by a combination of the above.

VIII. USE OF JOINT CHECKS UNDER THE UDBE PROGRAM

- A. The following guidelines apply to the use of joint checks:
 1. The second party (typically the prime contractor) acts solely as a guarantor;
 2. The DBE must release the check to the supplier;
 3. The use of joint checks is a commonly recognized business practice;

4. The Department must approve the use of joint checks prior to use by contractors and/or DBEs. As part of this approval process the Department will analyze industry practice to confirm that the use of joint checks is commonly employed outside of the DBE program for non-DBE subcontractors on both federal and state funded contracts. Using joint checks shall not be approved if it conflicts with other aspects of the DBE regulations regarding CUF; and
5. The Department will monitor the use of joint checks closely to avoid abuse.

B. Contractors and DBEs should review the following general guidelines when determining whether to use joint checks closely to avoid abuse:

1. That standard Industry practice applies to all contractors (federal and state contracts);
2. Use of joint checks must be available to all subcontractors;
3. Material industry sets the standard industry practice, not prime contractors;
4. Short term, not to exceed reasonable time (i.e., one (1) year, two (2) years) to establish/increase a credit line with the material supplier;
5. No exclusive arrangement between one (1) prime and one (1) DBE in the use of joint checks that might bring the independence of the DBE into question;
6. Non-proportionate ratio of DBE's normal capacity to size of contract and quantity of material to be provided under the contract;
7. The DBE is normally responsible to install and furnish the work item; and
8. The DBE must be more than an extra participant in releasing the check to the material supplier.

C. The Department shall allow the use of joint checks if the following general conditions are met:

1. DBE submits request to the Department for action;
2. There is a formalized agreement between all parties that specify the conditions under which the arrangement shall be permitted;
3. There is a full and prompt disclosure of the expected use of joint checks;
4. The Department will provide prior approval;
5. DBE remains responsible for all other elements of 49 CFR 26.55(c)(1);
6. The agreement states clearly and determines that independence is not threatened because the DBE retains final decision making responsibility;
7. The Department will determine that the request is not an attempt to artificially inflate DBE participation;
8. Standard industry practice is only one (1) factor;
9. The Department will monitor and maintain oversight of the arrangement by reviewing cancelled checks and/or certification statement of payment; and

10. The Department will verify there is no requirement by prime contractor that the DBE is to use a specific supplier nor the prime "contractors" negotiated unit price.

IX. DEMONSTRATION OF GOOD FAITH EFFORTS FOR CONTRACT AWARD

- A. It is the sole responsibility of the bidder/offeror to submit any and all documents, logs, correspondence, and any other records or information to the Department that will demonstrate that the bidder/offeror made good faith efforts to meet the UDBE goal. In its good faith evaluation, the Department may, but shall not be required, to perform the following as part of its evaluation: a) request additional information and documents from the bidder/offeror; b) compare the bidder's/offeror's bid against the bids/offers of other bidders/offerors, and compare the UDBEs and UDBE work areas utilized by the bidder/offeror with the UDBEs listed in other bids/offers submitted for this contract; c) verify contacts by bidders/offerors with UDBEs; and e) compare the UDBE and the categories of UDBE work targeted by the bidder/offeror for participation in the contract, with the total pool of available UDBEs ready, willing and able to perform work on each particular subcontract targeted by the bidder/offeror. Actions on the part of the bidder/offeror that will be considered demonstrative of good faith efforts include, but are not limited to, the following:
1. Whether the bidder/offeror submitted the required information at the time of bid opening (i.e. DBE name, address, NAICS code, description of work, project name, and number), and dollar amounts for all subcontractors, within five (5) days of bid opening;
 2. Whether the bidder/offeror solicited through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified UDBEs who have the capability to perform part or all of the work to be included under the contract. The Department will also consider whether the bidder/offeror solicited the participation of potential UDBEs as early in the procurement process as practicable, and allowed sufficient time for the UDBEs to properly inquire about the project and respond to the solicitation. The Department will also review whether the bidder/offeror took appropriate steps to follow up with interested UDBEs in a timely manner to facilitate participation by UDBEs in this project;
 3. Whether the bidder/offeror identified and broke up portions of work that can be performed by UDBEs in order to increase the likelihood that a UDBE will be able to participate, and that the UDBE goal could be achieved (e.g. breaking out contract items into economically feasible units to facilitate UDBE participation even when the bidder/offeror might otherwise prefer to self-perform these work items);
 4. Whether the bidder/offeror made available or provided interested UDBEs with adequate information about the plans, specifications, and requirements of the project in a timely manner, and assisted them in responding to the bidder's/offeror's solicitation;

5. Whether the bidder/offeror negotiated in good faith with interested UDBEs. Evidence of such negotiations includes documenting: a) the names, addresses and telephone numbers of UDBEs that were contacted; b) a description of the information that was provided to UDBEs regarding the plans and specifications; and c) detailed explanation for not utilizing individual UDBEs on the project;
 6. Whether the bidder/offeror solely relied on price in determining whether to use a UDBE. The fact that there may be additional or higher costs associated with finding and utilizing UDBEs are not, by themselves, sufficient reasons for a bidder's/offeror's refusal to utilize a UDBE, or the failure to meet the UDBE goal, provided that such additional costs are not unreasonable. Also, the ability or desire of a bidder/offeror to perform a portion of the work with its own forces, that could have been undertaken by an available UDBE, does not relieve the bidder/offeror of the responsibility to make good faith efforts to meet the UDBE goal, and to make available and solicit UDBE participation in other areas of the project to meet the UDBE goal;
 7. Whether the bidder/offeror rejected UDBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The UDBEs standing within the industry, membership in specific groups, organizations or associations, and political or social affiliation are not legitimate basis for the rejection or non-solicitation of bids from particular UDBEs;
 8. Whether the bidder/offeror made efforts to assist interested UDBEs in obtaining bonding, lines of credit, or insurance;
 9. Whether the bidder/offeror made efforts to assist interested UDBEs in obtaining necessary equipment, supplies, materials or related assistance or services;
 10. Whether the bidder/offeror effectively used the services of available minority/women community organizations, minority/women business groups, contractors' groups, and local, state and federal minority/women business assistance offices or other organizations to provide assistance in recruitment and placement of UDBEs; and
 11. Whether other bidders/offerors met the goal and whether the apparent successful bidder/offeror could have met the goal with additional efforts. The Department may determine that an apparent successful bidder/offeror who fell short of meeting the goal, made good faith efforts when it met or exceeded the average UDBE participation obtained by other bidders/offerors.
- B. A prime contractor's inability to find a replacement UDBE at the original price is not sufficient to demonstrate that good faith efforts have been made to replace the original UDBE. The fact that the contractor has the ability and/or desire to perform the contract work with its own forces does not relieve the contractor of the obligation to make good faith efforts to find a replacement UDBE, and it is not a sound basis for rejecting a prospective replacement UDBE's reasonable quote.

X. ADMINISTRATIVE RECONSIDERATION.

- A. If under the provisions of 49 CFR, Part 26.53(d), if it is determined by the Department that the apparent successful bidder/offeror has failed to meet the provisions of this subsection, the bidder/offeror may submit a protest to request an administrative reconsideration. The bidder/offeror must file this request with the Department's OCR within five (5) calendar days of notification by the Department that the bidder/offeror failed to meet the requirements of this subsection. As part of this reconsideration request, it is the bidder's/offeror's responsibility to provide to the OCR, any and all written documentation, correspondence, logs, and any other documents or evidence the bidder/offeror believes relates to the issue of whether it met the UDBE project goal or made good faith effort to do so.
- B. The OCR DBE Liaison Officer will be responsible for resolving the reconsideration dispute.
- C. Upon request by the bidder/offeror, the bidder/offeror will be allowed an opportunity to meet in person with the Liaison Officer to discuss the issue of whether it met the UDBE project goal, or made good faith effort to do so. If a meeting is requested, the bidder/offeror must be ready, willing, and able to meet with the Liaison Officer within five (5) calendar days of the bidder's/offeror's receipt of written notification that the bidder/offeror failed to meet the requirements of this subsection.
- D. The Liaison Officer will render a decision on the reconsideration, and notify the bidder/offeror in writing of the decision. The decision will explain the basis for the Liaison Officer's findings and the reasons for the decision.
- E. The decision is not appealable to the USDOT, but is appealable in accordance with Section 103D-709, Hawaii Revised Statutes.

XI. AWARD OF CONTRACT

- A. In a sealed bid procurement, the Department reserves the right to reject any or all bids. The award of contract, if it is awarded, will be to the lowest responsive and responsible bidder who meets or exceeds the UDBE project goal, or who makes good faith efforts to meet or exceed the UDBE project goal, as determined by the Department.
- B. If the lowest responsible bidder does not meet the UDBE project goal and does not demonstrate to the satisfaction of the Department that it made good faith efforts to meet the UDBE project goal, such bid shall be rejected as non-responsive. The Department will then consider the next lowest responsive and responsible bidder for award in accordance with paragraph A above.

XII. REPLACEMENT OF A UDBE ON A PROJECT WITH A CONTRACT GOAL

Under this contract, the prime contractor shall utilize the specific UDBE listed to perform the work and supply the materials for which each is listed unless the contractor obtains written consent from the Department to replace a UDBE. If the Department's consent is not provided, the contractor shall not be entitled to any payment for work or material unless it is performed or supplied by the listed UDBE. The Department reserves the right to request copies of all UDBE subcontracts.

The Department will require a contractor to make good faith efforts to replace a UDBE that is terminated or has otherwise failed to complete its work on a contract with another certified UDBE, to the extent needed to meet the contract goal. The Department will require the prime contractor to promptly provide written notice to the project manager of the UDBE's inability or unwillingness to perform and provide reasonable documentation.

The written notice by the contractor must include the following:

1. The date the contractor determined the certified UDBE to be unwilling, unable or ineligible to perform work on the contract;
2. The projected date that the contractor shall require a substitution or replacement UDBE to commence work if consent is granted by the Department;
3. Documentation of facts that describe and cite specific actions or inactions on the part of the affected UDBE that led to the contractor's conclusion that the UDBE is unwilling, unable, or ineligible to perform work on the contract;
4. A brief statement of the affected UDBE's capacity and ability or inability to perform the work as determined by the contractor;
5. Documentation of contractor's good faith efforts to enable affected UDBE to perform the work;
6. The current percentage of work completed on each bid item by the affected UDBE;
7. The total dollar amount currently paid per bid item for work performed by the affected UDBE;
8. The total dollar amount per bid item remaining to be paid to the UDBE for work completed but for which the UDBE has not received payment, and with which the contractor has no dispute; and
9. The total dollar amount per bid item remaining to be paid to the UDBE for work completed, for which the UDBE has not received payment, and with which the contractor and UDBE have a dispute.

The prime contractor shall send a copy of the written notice to replace a certified UDBE on a contract to the affected UDBE. The affected UDBE may submit a written response within five (5) calendar days to the Department to explain its position on its performance on the committed work. The Department shall consider both the prime contractor's request and UDBE's stated position before approving the termination or substitution request, or determining if any action shall be taken against the contractor.

There shall be no substitution or termination of a UDBE subcontractor at any time without the prior written consent of the Department. The Department will provide written

consent only if the contractor has good cause, as determined by the Department, to terminate the UDBE. Good cause may include, but is not limited to the following circumstances:

1. The UDBE subcontractor fails or refuses to execute a written contract;
2. The listed UDBE subcontractor fails or refuses to perform the work of its subcontract in a way consistent with normal industry standards;
3. The listed UDBE subcontractor fails or refuses to meet the prime contractor's reasonable, nondiscriminatory bond requirements;
4. The listed UDBE subcontractor becomes bankrupt, insolvent, or exhibits credit unworthiness;
5. The listed UDBE subcontractor is ineligible to work on public works projects because of suspension and debarment proceedings pursuant to 2 CFR Parts 180, 215 and 1,200 or applicable state law;
6. The Department has determined that the listed UDBE subcontractor is not a responsible contractor;
7. The listed UDBE subcontractor voluntarily withdraws from the project and provides to the Department written notice of its withdrawal;
8. The listed UDBE is ineligible to receive UDBE credit for the type of work required; and
9. A UDBE owner dies or becomes disabled with the result that the listed UDBE contractor is unable to complete its work on the contract.

Upon approval from the Department to replace a UDBE, the contractor's good faith efforts shall be documented and submitted to the Department within seven (7) calendar days. This time period may be extended for another seven (7) calendar days upon request by the prime contractor.

If a UDBE subcontractor is unable to perform work under the contract, and is to be replaced, the contractor's failure to obtain a substitute certified UDBE or to make good faith effort to obtain such a substitute UDBE subcontractor to perform said work, may constitute a breach of this contract for which the Department may terminate the contract or pursue such remedy as deemed appropriate by the Department.

XIII. PAYMENT

- A. The Department will make an estimate in writing each month based on the items of work performed and materials incorporated in the work and the value therefore at the unit prices or lump sum prices set forth in the contract. All progress estimates and payments will be approximate only and shall be subject to correction at any time prior to or in the final estimate and payment. The Department will not withhold any amount from any payment to the contractor, including retainage.

- B. The contractor shall pay all subcontractors within ten (10) calendar days after receipt of any progress payments from the Department. This clause applies to both DBE and non-DBE subcontractors, and all tiers of subcontracts.
- C. The contractor shall sign and submit the "DBE Participation Report and Prompt Payment Certification" form concurrently with its invoice to the Project Manager. The Department will not process any invoices without this completed form. The form shall certify all subcontractors have been paid for the work performed and at the completion of the subcontractors work any retainage held on the subcontracts has been returned.
- D. When any subcontractor has satisfactorily completed its work as specified in the subcontract, and there are no bona fide disputes, the contractor shall make prompt and full payment to the subcontractor of all monies due, including retainage, within ten (10) calendar days after the subcontractor's work is satisfactorily completed. A subcontractor's work is satisfactorily completed when all the tasks called for in the subcontract have been accomplished and documented, as required by the Department. The contractor must obtain the prior written approval from the Department before it can continue to withhold retainage from any subcontractor who has completed its portion of the work. This clause applies to both DBE and non-DBE subcontractors, and all tiers of subcontracts.

XIV. RECORDS

The contractor shall maintain and keep all records necessary for the Department to determine compliance with the contractor's DBE obligations. The records shall be available at reasonable times and places for inspection by the Department and appropriate Federal agencies. The records to be kept by the contractor shall include:

- 1. The names, race/ethnicity, gender, address, phone number, and contact person of all DBE and non-DBE consultants, subcontractors, manufacturers, suppliers, truckers and vendors identified as DBEs (for vendor to identify whether it is a supplier or manufacturer);
- 2. The nature of work of each DBE and non-DBE consultant, subcontractor, manufacturer, supplier, trucker and vendor;
- 3. The dollar amount contracted with each DBE and non-DBE consultant, subcontractor, manufacturer, supplier, trucker and vendor; and
- 4. Cumulative dollar amount of all change orders to the subcontract.

XV. REPORTS

The contractor shall submit the DBE Participation Report and Prompt Payment Certification form to the Department with its pay request. The Department will not prepare the monthly progress payment unless it receives a completed report.

XVI. FAILURE TO COMPLY WITH DBE REQUIREMENTS

All contractors, subcontractors, manufacturers and suppliers are hereby advised that failure to carry out all DBE requirements specified herein shall constitute a material breach of contract that may result in termination of the contract or such other remedy as deemed appropriate by the Department.

General Decision Number: HI140001 12/05/2014 HI1

Superseded General Decision Number: HI20130001

State: Hawaii

Construction Types: Building, Heavy (Heavy and Dredging), Highway and Residential

Counties: Hawaii Statewide.

BUILDING CONSTRUCTION PROJECTS; RESIDENTIAL CONSTRUCTION PROJECTS (consisting of single family homes and apartments up to and including 4 stories); HEAVY AND HIGHWAY CONSTRUCTION PROJECTS AND DREDGING

Modification Number	Publication Date
0	01/03/2014
1	02/07/2014
2	03/14/2014
3	04/18/2014
4	05/02/2014
5	06/13/2014
6	06/27/2014
7	07/11/2014
8	07/18/2014
9	07/25/2014
10	09/05/2014
11	09/12/2014
12	10/31/2014
13	11/07/2014
14	11/14/2014
15	11/21/2014
16	12/05/2014

ASBE0132-001 08/29/2010

	Rates	Fringes
Asbestos Workers/Insulator Includes application of all insulating materials, protective coverings, coatings and finishes to all types of mechanical systems. Also the application of firestopping material for wall openings and penetrations in walls, floors, ceilings and curtain walls.....	\$ 36.65	22.24

BOIL0627-005 01/01/2013

	Rates	Fringes
BOILERMAKER.....	\$ 35.20	27.35

BRHI0001-001 09/03/2012

	Rates	Fringes
BRICKLAYER Bricklayers and Stonemasons.....	\$ 35.35	22.92
Pointers, Caulkers and Weatherproofers.....	\$ 35.60	22.92

BRHI0001-002 09/02/2013

	Rates	Fringes
Tile, Marble & Terrazzo Worker Terrazzo Base Grinders.....	\$ 35.29	23.22
Terrazzo Floor Grinders and Tenders.....	\$ 32.24	23.22
Tile, Marble and Terrazzo Workers.....	\$ 37.10	23.22

CARP0745-001 09/01/2014

	Rates	Fringes
Carpenters:		
Carpenters; Hardwood Floor Layers; Patent Scaffold Erectors (14 ft. and over); Piledrivers; Pneumatic Nailers; Wood Shinglers and Transit and/or Layout Man.....	\$ 42.25	20.71
Millwrights and Machine Erectors.....	\$ 42.50	20.71
Power Saw Operators (2 h.p. and over).....	\$ 42.40	20.71

CARP0745-002 09/01/2014

	Rates	Fringes
Drywall and Acoustical Workers and Lathers.....	\$ 42.50	20.71

ELEC1186-001 08/24/2014

	Rates	Fringes
Electricians:		
Cable Splicers.....	\$ 46.53	27.74
Electricians.....	\$ 42.30	26.45
Telecommunication worker....	\$ 23.20	17%+6.35

ELEC1186-002 08/24/2014

	Rates	Fringes
Line Construction:		
Cable Splicers.....	\$ 46.53	27.74
Groundmen/Truck Drivers.....	\$ 31.73	23.21
Heavy Equipment Operators....	\$ 38.07	25.15
Linemen.....	\$ 42.30	26.45
Telecommunication worker....	\$ 23.20	17%+\$6.35

ELEV0126-001 01/01/2014

	Rates	Fringes
ELEVATOR MECHANIC.....	\$ 52.10	26.785

a. VACATION: Employer contributes 8% of basic hourly rate for 5 years service and 6% of basic hourly rate for 6 months to 5 years service as vacation pay credit.

b. PAID HOLIDAYS: New Year's Day, Memorial Day, Independence Day, Labor Day, Veterans' Day, Thanksgiving Day, the Friday after Thanksgiving Day and Christmas Day.

ENGI0003-002 09/01/2014

	Rates	Fringes
Diver (Aqua Lung) (Scuba)		
Diver (Aqua Lung) (Scuba) (over a depth of 30 feet)...	\$ 61.50	27.06
Diver (Aqua Lung) (Scuba) (up to a depth of 30 feet)...	\$ 52.13	27.06
Stand-by Diver (Aqua Lung) (Scuba).....	\$ 42.75	27.06
Diver (Other than Aqua Lung)		
Diver (Other than Aqua Lung).....	\$ 61.50	27.06
Diver Tender (Other than Aqua Lung).....	\$ 39.72	27.06
Stand-by Diver (Other than Aqua Lung).....	\$ 42.75	27.06
Helicopter Work		
Airborne Hoist Operator for Helicopter.....	\$ 41.30	27.06

Co-Pilot of Helicopter.....	\$ 41.44	27.06
Pilot of Helicopter.....	\$ 41.61	27.06
Power equipment operator - tunnel work		
GROUP 1.....	\$ 37.74	27.06
GROUP 2.....	\$ 37.85	27.06
GROUP 3.....	\$ 38.02	27.06
GROUP 4.....	\$ 38.29	27.06
GROUP 5.....	\$ 38.60	27.06
GROUP 6.....	\$ 39.25	27.06
GROUP 7.....	\$ 39.57	27.06
GROUP 8.....	\$ 39.68	27.06
GROUP 9.....	\$ 39.79	27.06
GROUP 9A.....	\$ 40.02	27.06
GROUP 10.....	\$ 40.08	27.06
GROUP 10A.....	\$ 40.23	27.06
GROUP 11.....	\$ 40.38	27.06
GROUP 12.....	\$ 40.74	27.06
GROUP 12A.....	\$ 41.10	27.06
Power equipment operators:		
GROUP 1.....	\$ 37.44	27.06
GROUP 2.....	\$ 37.55	27.06
GROUP 3.....	\$ 37.72	27.06
GROUP 4.....	\$ 37.99	27.06
GROUP 5.....	\$ 38.30	27.06
GROUP 6.....	\$ 38.95	27.06
GROUP 7.....	\$ 39.27	27.06
GROUP 8.....	\$ 39.38	27.06
GROUP 9.....	\$ 39.49	27.06
GROUP 9A.....	\$ 39.72	27.06
GROUP 10.....	\$ 39.78	27.06
GROUP 10A.....	\$ 39.93	27.06
GROUP 11.....	\$ 40.08	27.06
GROUP 12.....	\$ 40.44	27.06
GROUP 12A.....	\$ 40.80	27.06
GROUP 13.....	\$ 37.72	27.06
GROUP 13A.....	\$ 37.99	27.06
GROUP 13B.....	\$ 38.30	27.06
GROUP 13C.....	\$ 38.95	27.06
GROUP 13D.....	\$ 39.27	27.06
GROUP 13E.....	\$ 39.38	27.06

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Fork Lift (up to and including 10 tons); Partsman (heavy duty repair shop parts room when needed).

GROUP 2: Conveyor Operator (Handling building material); Hydraulic Monitor; Mixer Box Operator (Concrete Plant).

GROUP 3: Brakeman; Deckhand; Fireman; Oiler; Oiler/Gradechecker; Signalman; Switchman; Highline Cableway Signalman; Bargeman; Bunkerman; Concrete Curing Machine (self-propelled, automatically applied unit on streets, highways, airports and canals); Leveeman; Roller (5 tons and under); Tugger Hoist.

GROUP 4: Boom Truck or dual purpose "A" Frame Truck (5 tons or less); Concrete Placing Boom (Building Construction); Dinky Operator; Elevator Operator; Hoist and/or Winch (one drum); Straddle Truck (Ross Carrier, Hyster and similar).

GROUP 5: Asphalt Plant Fireman; Compressors, Pumps, Generators and Welding Machines ("Bank" of 9 or more, individually or collectively); Concrete Pumps or Pumpcrete Guns; Lubrication and Service Engineer (Grease Rack); Screedman.

GROUP 6: Boom Truck or Dual Purpose "A" Frame Truck (over 5 tons); Combination Loader/Backhoe (up to and including 3/4 cu. yd.); Concrete Batch Plants (wet or dry); Concrete Cutter, Groover and/or Grinder (self-propelled unit on streets, highways, airports, and canals); Conveyor or Concrete Pump (Truck or Equipment Mounted); Drilling Machinery (not to apply to waterliners, wagon drills or jack hammers); Fork Lift (over 10 tons); Loader (up to and including 3 and 1/2 cu. yds); Lull High Lift (under 40 feet); Lubrication and Service Engineer (Mobile); Maginnis Internal Full Slab Vibrator (on airports, highways, canals and warehouses); Man or Material Hoist; Mechanical Concrete Finisher (Large Clary, Johnson Bidwell, Bridge Deck and

similar); Mobile Truck Crane Driver; Portable Shotblast Concrete Cleaning Machine; Portable Boring Machine (under streets, highways, etc.); Portable Crusher; Power Jumbo Operator (setting slip forms, etc., in tunnels); Rollers (over 5 tons); Self-propelled Compactor (single engine); Self-propelled Pavement Breaker; Skidsteer Loader with attachments; Slip Form Pumps (Power driven by hydraulic, electric, air, gas, etc., lifting device for concrete forms); Small Rubber Tired Tractors; Trencher (up to and including 6 feet); Underbridge Personnel Aerial Platform (50 feet of platform or less).

GROUP 7: Crusher Plant Engineer, Dozer (D-4, Case 450, John Deere 450, and similar); Dual Drum Mixer, Extend Lift; Hoist and/or Winch (2 drums); Loader (over 3 and 1/2 cu. yds. up to and including 6 yards.); Mechanical Finisher or Spreader Machine (asphalt), (Barber Greene and similar) (Screedman required); Mine or Shaft Hoist; Mobile Concrete Mixer (over 5 tons); Pipe Bending Machine (pipelines only); Pipe Cleaning Machine (tractor propelled and supported); Pipe Wrapping Machine (tractor propelled and supported); Roller Operator (Asphalt); Self-Propelled Elevating Grade Plane; Slusher Operator; Tractor (with boom) (D-6, or similar); Trencher (over 6 feet and less than 200 h.p.); Water Tanker (pulled by Euclids, T-Pulls, DW-10, 20 or 21, or similar); Winchman (Stern Winch on Dredge).

GROUP 8: Asphalt Plant Operator; Barge Mate (Seagoing); Cast-in-Place Pipe Laying Machine; Concrete Batch Plant (multiple units); Conveyor Operator (tunnel); Deckmate; Dozer (D-6 and similar); Finishing Machine Operator (airports and highways); Gradesetter; Kolman Loader (and similar); Mucking Machine (Crawler-type); Mucking Machine (Conveyor-type); No-Joint Pipe Laying Machine; Portable Crushing and Screening Plant; Power Blade Operator (under 12); Saurman Type Dragline (up to and including 5 yds.); Stationary Pipe Wrapping, Cleaning and Bending Machine; Surface Heater and Planer Operator, Tractor (D-6 and similar); Tri-Batch Paver; Tunnel Badger; Tunnel Mole and/or Boring Machine Operator Underbridge Personnel Aerial Platform (over 50 feet of platform).

GROUP 9: Combination Mixer and Compressor (gunite); Do-Mor Loader and Adams Elegrader; Dozer (D-7 or equal); Wheel and/or Ladder Trencher (over 6 feet and 200 to 749 h.p.).

GROUP 9A: Dozer (D-8 and similar); Gradesetter (when required by the Contractor to work from drawings, plans or specifications without the direct supervision of a foreman or superintendent); Push Cat; Scrapers (up to and including 20 cu. yds); Self-propelled Compactor with Dozer; Self-Propelled, Rubber-Tired Earthmoving Equipment (up to and including 20 cu. yds) (621 Band and similar); Sheep's Foot; Tractor (D-8 and similar); Tractors with boom (larger than D-6, and similar).

GROUP 10: Chicago Boom; Cold Planers; Heavy Duty Repairman or Welder; Hoist and/or Winch (3 drums); Hydraulic Skooper (Koehring and similar); Loader (over 6 cu. yds. up to and including 12 cu. yds.); Saurman type Dragline (over 5 cu. yds.); Self-propelled, rubber-tired Earthmoving Equipment (over 20 cu. yds. up to and including 31 cu. yds.) (637D and similar); Soil Stabilizer (P & H or equal); Sub-Grader (Gurries or other automatic type); Tractors (D-9 or equivalent, all attachments); Tractor (Tandem Scraper); Watch Engineer.

GROUP 10A: Boat Operator; Cable-operated Crawler Crane (up to and including 25 tons); Cable-operated Power Shovel, Clamshell, Dragline and Backhoe (up to and including 1 cu. yd.); Dozer D9-L; Dozer (D-10, HD41 and similar) (all attachments); Gradall (up to and including 1 cu. yd.); Hydraulic Backhoe (over 3/4 cu. yds. up to and including 2 cu. yds.); Mobile Truck Crane Operator (up to and including 25 tons) (Mobile Truck Crane Driver Required); Self-propelled Boom Type Lifting Device (Center Mount) (up to and including 25 tons) (Grove, Drott, P&H, Pettibone and similar); Trencher (over 6 feet and 750 h.p. or more); Watch Engineer (steam or electric).

GROUP 11: Automatic Slip Form Paver (concrete or asphalt);

Band Wagon (in conjunction with Wheel Excavator); Cable-operated Crawler Cranes (over 25 tons but less than 50 tons); Cable-operated Power Shovel, Clamshell, Dragline and Backhoe (over 1 cu. yd. up to 7 cu. yds.); Gradall (over 1 cu. yds. up to 7 cu. yds.); DW-10, 20, etc. (Tandem); Earthmoving Machines (multiple propulsion power units and 2 or more Scrapers) (up to and including 35 cu. yds., "struck" m.r.c.); Highline Cableway; Hydraulic Backhoe (over 2 cu. yds. up to and including 4 cu. yds.); Leverman; Lift Slab Machine; Loader (over 12 cu. yds); Master Boat Operator; Mobile Truck Crane Operator (over 25 tons but less than 50 tons); (Mobile Truck Crane Driver required); Pre-stress Wire Wrapping Machine; Self-propelled Boom-type Lifting Device (Center Mount) (over 25 tons m.r.c); Self-propelled Compactor (with multiple-propulsion power units); Single Engine Rubber Tired Earthmoving Machine (with Tandem Scraper); Tandem Cats; Trencher (pulling attached shield).

GROUP 12: Clamshell or Dipper Operator; Derricks; Drill Rigs; Multi-Propulsion Earthmoving Machines (2 or more Scrapers) (over 35 cu. yds "struck"m.r.c.); Operators (Derricks, Piledrivers and Cranes); Power Shovels and Draglines (7 cu. yds. m.r.c. and over); Self-propelled rubber-tired Earthmoving equipment (over 31 cu. yds.) (657B and similar); Wheel Excavator (up to and including 750 cu. yds. per hour); Wheel Excavator (over 750 cu. yds. per hour).

GROUP 12A: Dozer (D-11 or similar or larger); Hydraulic Excavators (over 4 cu. yds.); Lifting cranes (50 tons and over); Pioneering Dozer/Backhoe (initial clearing and excavation for the purpose of providing access for other equipment where the terrain worked involves 1-to-1 slopes that are 50 feet in height or depth, the scope of this work does not include normal clearing and grubbing on usual hilly terrain nor the excavation work once the access is provided); Power Blade Operator (Cat 12 or equivalent or over); Straddle Lifts (over 50 tons); Tower Crane, Mobile; Traveling Truss Cranes; Universal, Liebherr, Linden, and similar types of Tower Cranes (in the erection, dismantling, and moving of equipment there shall be an additional Operating Engineer or Heavy Duty Repairman); Yo-Yo Cat or Dozer.

GROUP 13: Truck Driver (Utility, Flatbed, etc.)

GROUP 13A: Dump Truck, 8 cu.yds. and under (water level); Water Truck (up to and including 2,000 gallons).

GROUP 13B: Water Truck (over 2,000 gallons); Tandem Dump Truck, over 8 cu. yds. (water level).

GROUP 13C: Truck Driver (Semi-trailer. Rock Cans, Semi-Dump or Roll-Offs).

GROUP 13D: Truck Driver (Slip-In or Pup).

GROUP 13E: End Dumps, Unlicensed (Euclid, Mack, Caterpillar or similar); Tractor Trailer (Hauling Equipment); Tandem Trucks hooked up to Trailer (Hauling Equipment)

BOOMS AND/OR LEADS (HOURLY PREMIUMS):

The Operator of a crane (under 50 tons) with a boom of 80 feet or more (including jib), or of a crane (under 50 tons) with leads of 100 feet or more, shall receive a per hour premium for each hour worked on said crane (under 50 tons) in accordance with the following schedule:

Booms of 80 feet up to but not including 130 feet or Leads of 100 feet up to but not including 130 feet	0.50
Booms and/or Leads of 130 feet up to but not including 180 feet	0.75
Booms and/or Leads of 180 feet up to and including 250 feet	1.15
Booms and/or Leads over 250 feet	1.50

The Operator of a crane (50 tons and over) with a boom of 180

feet or more (including jib) shall receive a per hour premium for each hour worked on said crane (50 tons and over) in accordance with the following schedule:

Booms of 180 feet up to and including 250 feet	1.25
Booms over 250 feet	1.75

 ENGI0003-004 09/01/2014

	Rates	Fringes
Dredging: (Boat Operators)		
Boat Deckhand.....	\$ 37.72	27.06
Boat Operator.....	\$ 39.93	27.06
Master Boat Operator.....	\$ 40.08	27.06
Dredging: (Clamshell or Dipper Dredging)		
GROUP 1.....	\$ 40.44	27.06
GROUP 2.....	\$ 39.78	27.06
GROUP 3.....	\$ 39.38	27.06
GROUP 4.....	\$ 37.72	27.06
Dredging: (Derricks)		
GROUP 1.....	\$ 40.44	27.06
GROUP 2.....	\$ 39.78	27.06
GROUP 3.....	\$ 39.38	27.06
GROUP 4.....	\$ 37.72	27.06
Dredging: (Hydraulic Suction Dredges)		
GROUP 1.....	\$ 40.08	27.06
GROUP 2.....	\$ 39.93	27.06
GROUP 3.....	\$ 39.78	27.06
GROUP 4.....	\$ 39.72	27.06
GROUP 5.....	\$ 37.88	26.76
Group 5.....	\$ 39.38	27.06
GROUP 6.....	\$ 37.77	26.76
Group 6.....	\$ 39.27	27.06
GROUP 7.....	\$ 36.22	26.76
Group 7.....	\$ 37.72	27.06

CLAMSHELL OR DIPPER DREDGING CLASSIFICATIONS

- GROUP 1: Clamshell or Dipper Operator.
- GROUP 2: Mechanic or Welder; Watch Engineer.
- GROUP 3: Barge Mate; Deckmate.
- GROUP 4: Bargeman; Deckhand; Fireman; Oiler.

HYDRAULIC SUCTION DREDGING CLASSIFICATIONS

- GROUP 1: Leverman.
- GROUP 2: Watch Engineer (steam or electric).
- GROUP 3: Mechanic or Welder.
- GROUP 4: Dozer Operator.
- GROUP 5: Deckmate.
- GROUP 6: Winchman (Stern Winch on Dredge)
- GROUP 7: Deckhand (can operate anchor scow under direction of Deckmate); Fireman; Leveeman; Oiler.

DERRICK CLASSIFICATIONS

- GROUP 1: Operators (Derricks, Piledrivers and Cranes).
- GROUP 2: Saurman Type Dragline (over 5 cubic yards).
- GROUP 3: Deckmate; Saurman Type Dragline (up to and including 5 yards).
- GROUP 4: Deckhand, Fireman, Oiler.

 ENGI0003-044 09/02/2013

	Rates	Fringes
Power Equipment Operators (PAVING)		
(10) Cold Planer.....	\$ 38.25	26.98
(10) Loader (2 1/2 cu. yds. and under).....	\$ 37.42	26.98
(10) Soil Stabilizer.....	\$ 38.25	26.98
(11) Loader (over 2 1/2 cu. yds. to and including 5 cu. yds.).....	\$ 37.74	26.98

(3)Roller Operator (five tons and under).....	\$ 36.19	26.98
(5)Screed Person.....	\$ 37.42	26.98
(6)Combination Loader/Backhoe (up to 3/4 cu.yd.).....	\$ 35.48	26.98
(6)Concrete Saws and/or Grinder (self-propelled unit on streets, highways, airports and canals).....	\$ 37.42	26.98
(6)Roller Operator (over five tons).....	\$ 37.62	26.98
(7)Combination Loader/Backhoe (over 3/4 cu.yd.).....	\$ 36.46	26.98
(8) Asphalt Plant Operator..	\$ 37.89	26.98
Asphalt Concrete Material Transfer.....	\$ 37.42	26.98
Asphalt Raker.....	\$ 36.46	26.98
Asphalt Spreader Operator...	\$ 37.94	26.98
Grader.....	\$ 38.25	26.98
Laborer, Hand Roller.....	\$ 35.96	26.98

IRON0625-001 09/01/2013

	Rates	Fringes
Ironworkers:.....	\$ 34.75	28.41
a. Employees will be paid \$.50 per hour more while working in tunnels and coffer dams; \$1.00 per hour more when required to work under or are covered with water (submerged) and when they are required to work on the summit of Mauna Kea, Mauna Loa or Haleakala.		

* LABO0368-001 09/01/2014

	Rates	Fringes
Laborers:		
Driller.....	\$ 34.30	16.71
Final Clean Up.....	\$ 24.70	12.54
Gunite Operator & High Scaler.....	\$ 33.80	16.71
Laborer I.....	\$ 33.30	16.71
Laborer II.....	\$ 30.70	16.71
Mason Tender/Hod Carrier....	\$ 33.80	16.71
Powderman.....	\$ 34.30	16.71
Window Washer (bosun chair)...	\$ 32.80	16.71

LABORERS CLASSIFICATIONS

Laborer I: Air Blasting, run by electric or pneumatic compressor; Asphalt Laborer, Ironer, Raker, Luteman, and Handroller, and all types of Asphalt Spreader Boxes; Asphalt Shoveler; Assembly and Installation of Multiplates, Liner Plates, Rings, Mesh, Mats; Batching Plant (portable and temporary); Boring Machine Operator (under streets and sidewalks); Buggymobile; Burning and Welding; Chainsaw, Faller, Logloader, and Bucker; Compactors (Jackson Jumping Jack and similar); Concrete Bucket Dumpman; Concrete Chipping; Concrete Chuteman/Hoseman (pouring concrete) (the handling of the chute from ready-mix trucks for such jobs as walls, slabs, decks, floors, foundations, footings, curbs, gutters, and sidewalks); Concrete Core Cutter (Walls, Floors, and Ceiling); Concrete Grinding or Sanding; Concrete: Hooking on, signaling, dumping of concrete for treme work over water on caissons, pilings, abutments, etc.; Concrete: Mixing, handling, conveying, pouring, vibrating, otherwise placing of concrete or aggregates or by any other process; Concrete: Operation of motorized wheelbarrows or buggies or machines of similar character, whether run by gas, diesel, or electric power; Concrete Placement Machine Operator: operation of Somero Hammerhead, Copperheads, or similar machines; Concrete Pump Machine (laying, coupling, uncoupling of all connections and cleaning of equipment); Concrete and/or Asphalt Saw (Walking or Handtype) (cutting walls or flatwork) (scoring old or new concrete and/or asphalt) (cutting for expansion joints) (streets and ways for laying of pipe, cable or conduit for all purposes); Concrete Shovelers/Laborers (Wet or Dry); Concrete Screeding for Rough Strike-Off: Rodding

or striking-off, by hand or mechanical means prior to finishing; Concrete Vibrator Operator; Coring Holes: Walls, footings, piers or other obstructions for passage of pipes or conduits for any purpose and the pouring of concrete to secure the hole; Cribbers, Shorer, Lagging, Sheeting, and Trench Jacking and Bracing, Hand-Guided Lagging Hammer Whaling Bracing; Curbing (Concrete and Asphalt); Curing of Concrete (impervious membrane and form oiler) mortar and other materials by any mode or method; Cut Granite Curb Setter (setting, leveling and grouting of all precast concrete or stone curbs); Cutting and Burning Torch (demolition); Dri Pak-It Machine; Environmental Abatement: removal of asbestos, lead, and bio hazardous materials (EPA and/or OSHA certified); Falling, bucking, yarding, loading or burning of all trees or timber on construction site; Forklift (9 ft. and under); Gas, Pneumatic, and Electric tools; Grating and Grill work for drains or other purposes; Green Cutter of concrete or aggregate in any form, by hand, mechanical means, grindstone or air and/or water; Grout: Spreading for any purpose; Guinea Chaser (Grade Checker) for general utility trenches, sitework, and excavation; Headerboard Man (Asphalt or Concrete); Heat Welder of Plastic (Laborers' AGC certified workers) (when work involves waterproofing for waterpools, artificial lakes and reservoir) heat welding for sewer pipes and fusion of HDPE pipes; Heavy Highway Laborer (Rigging, signaling, handling, and installation of pre-cast catch basins, manholes, curbs and gutters); High Pressure Nozzlemans - Hydraulic Monitor (over 100# pressure); Jackhammer Operator; Jacking of slip forms: All semi and unskilled work connected therewith; Laying of all multi-cell conduit or multi-purpose pipe; Magnesite and Mastic Workers (Wet or Dry) (including mixer operator); Mortar Man; Mortar Mixer (Block, Brick, Masonry, and Plastering); Nozzlemans (Sandblasting and/or Water Blasting): handling, placing and operation of nozzle; Operation, Manual or Hydraulic jacking of shields and the use of such other mechanical equipment as may be necessary; Pavement Breakers; Paving, curbing and surfacing of streets, ways, courts, under and overpasses, bridges, approaches, slope walls, and all other labor connected therewith; Pilecutters; Pipe Assessment in place, bolting and lining up of sectional metal or other pipe including corrugated pipe; Pipelayer performing all services in the laying and installation of pipe from the point of receiving pipe in the ditch until completion of operation, including any and all forms of tubular material, whether pipe, HDPE, metallic or non-metallic, conduit, and any other stationary-type of tubular device used for conveying of any substance or element, whether water, sewage, solid, gas, air, or other product whatsoever and without regard to the nature of material from which tubular material is fabricated; No-joint pipe and stripping of same, Pipewrapper, Caulker, Bander, Kettlemen, and men applying asphalt, Laykold, treating Creosote and similar-type materials (6-inch pipe and over); Piping: resurfacing and paving of all ditches in preparation for laying of all pipes; Pipe laying of lateral sewer pipe from main or side sewer to buildings or structure (except Contactor may direct work be done under proper supervision); Pipe laying, leveling and marking of the joint used for main or side sewers and storm sewers; Laying of all clay, terra cotta, ironstone, vitrified concrete, HDPE or other pipe for drainage; Placing and setting of water mains, gas mains and all pipe including removal of skids; Plaster Mortar Mixer/Pump; Pneumatic Impact Wrench; Portable Sawmill Operation: Choker setters, off bearers, and lumber handlers connected with clearing; Posthole Digger (Hand Held, Gas, Air and Electric); Powderman's Tender; Power Broom Sweepers (Small); Preparation and Compaction of roadbeds for railroad track laying, highway construction, and the preparation of trenches, footings, etc., for cross-country transmission by pipelines, electrical transmission or underground lines or cables (by mechanical means); Raising of structure by manual or hydraulic jacks or other methods and resetting of structure in new locations, including all concrete work; Ramming or compaction; Rigging in connection with Laborers' work (except demolition), Signaling (including the use of walkie talkie) Choke Setting, tag line usage; Tagging and Signaling of building materials into high rise units; Riprap, Stonepaver, and Rock Slinger (includes placement of stacked concrete, wet or dry and

loading, unloading, signaling, slinging and setting of other similar materials); Rotary Scarifier (including multiple head concrete chipping Scarifier); Salamander Heater, Drying of plaster, concrete mortar or other aggregate; Scaffold Erector Leadman; Scaffolds: (Swing and hanging) including maintenance thereof; Scaler; Septic Tank/Cesspool and Drain Fields Digger and Installer; Shredder/Chipper (tree branches, brush, etc.); Stripping and Setting Forms; Stripping of Forms: Other than panel forms which are to be re-used in their original form, and stripping of forms on all flat arch work; Tampers (Barko, Wacker, and similar type); Tank Scaler and Cleaners; Tarman; Tree Climbers and Trimmers; Trencher (includes hand-held, Davis T-66 and similar type); Trucks (flatbed up to and including 2 1/2 tons when used in connection with on-site Laborers' work; Trucks (Refuse and Garbage Disposal) (from job site to dump); Vibra-Screed (Bull Float in connection with Laborers' work); Well Points, Installation of or any other dewatering system.

Laborer II: Asphalt Plant Laborer; Boring Machine Tender; Bridge Laborer; Burning of all debris (crates, boxes, packaging waste materials); Chainman, Rodmen, and Grade Markers; Cleaning, clearing, grading and/or removal for streets, highways, roadways, aprons, runways, sidewalks, parking areas, airports, approaches, and other similar installations; Cleaning or reconditioning of streets, ways, sewers and waterlines, all maintenance work and work of an unskilled and semi-skilled nature; Concrete Bucket Tender (Groundman) hooking and unhooking of bucket; Concrete Forms; moving, cleaning, oiling and carrying to the next point of erection of all forms; Concrete Products Plant Laborers; Conveyor Tender (conveying of building materials); Crushed Stone Yards and Gravel and Sand Pit Laborers and all other similar plants; Demolition, Wrecking and Salvage Laborers: Wrecking and dismantling of buildings and all structures, with use of cutting or wrecking tools, breaking away, cleaning and removal of all fixtures, All hooking, unhooking, signaling of materials for salvage or scrap removed by crane or derrick; Digging under streets, roadways, aprons or other paved surfaces; Driller's Tender; Chuck Tender, Outside Nipper; Dry-packing of concrete (plugging and filling of she-bolt holes); Fence and/or Guardrail Erector: Dismantling and/or re-installation of all fence; Finegrader; Firewatcher; Flagman (Coning, preparing, establishing and removing portable roadway barricade devices); Signal Men on all construction work defined herein, including Traffic Control Signal Men at construction site; General Excavation; Backfilling, Grading and all other labor connected therewith; Digging of trenches, ditches and manholes and the leveling, grading and other preparation prior to laying pipe or conduit for any purpose; Excavations and foundations for buildings, piers, foundations and holes, and all other construction. Preparation of street ways and bridges; General Laborer: Cleaning and Clearing of all debris and surplus material. Clean-up of right-of-way. Clearing and slashing of brush or trees by hand or mechanical cutting. General Clean up: sweeping, cleaning, wash-down, wiping of construction facility and equipment (other than "Light Clean up (Janitorial) Laborer. Garbage and Debris Handlers and Cleaners. Appliance Handling (job site) (after delivery unloading in storage area); Ground and Soil Treatment Work (Pest Control); Guniting/Shotcrete Operator Tender; Junk Yard Laborers (same as Salvage Yard); Laser Beam "Target Man" in connection with Laborers' work; Layout Person for Plastic (when work involves waterproofing for waterpools, artificial lakes and reservoirs); Limbers, Brush Loaders, and Pilers; Loading, Unloading, carrying, distributing and handling of all rods and material for use in reinforcing concrete construction (except when a derrick or outrigger operated by other than hand power is used); Loading, unloading, sorting, stockpiling, handling and distribution of water mains, gas mains and all pipes; Loading and unloading of all materials, fixtures, furnishings and appliances from point of delivery to stockpile to point of installation; hooking and signaling from truck, conveyance or stockpile; Material Yard Laborers; Pipelayer Tender; Pipewrapper, Caulker, Bander, Kettlemen, and men applying asphalt, Laykold, Creosote, and similar-type materials (pipe under 6 inches); Plasterer Laborer; Preparation,

construction and maintenance of roadbeds and sub-grade for all paving, including excavation, dumping, and spreading of sub-grade material; Prestressed or precast concrete slabs, walls, or sections: all loading, unloading, stockpiling, hooking on of such slabs, walls or sections; Quarry Laborers; Railroad, Streetcar, and Rail Transit Maintenance and Repair; Roustabout; Rubbish Trucks in connection with Building Construction Projects (excluding clearing, grubbing, and excavating); Salvage Yard: All work connected with cutting, cleaning, storing, stockpiling or handling of materials, all cleanup, removal of debris, burning, back-filling and landscaping of the site; Sandblasting Tender (Pot Tender): Hoses and pots or markers; Scaffolds: Erection, planking and removal of all scaffolds used for support for lathers, plasters, brick layers, masons, and other construction trades crafts; Scaffolds: (Specially designed by carpenters) laborers shall tend said carpenter on erection and dismantling thereof, preparation for foundation or mudsills, maintenance; Scraping of floors; Screeds: Handling of all screeds to be reused; handling, dismantling and conveyance of screeds; Setting, leveling and securing or bracing of metal or other road forms and expansion joints; Sheet Piling/trench shoring (handling and placing of skip sheet or wood plank trench shoring); Ship Scalers; Shipwright Tender; Sign Erector (subdivision traffic, regulatory, and street-name signs); Sloper; Slurry Seal Crews (Mixer Operator, Applicator, Squeegee Man, Shuttle Man, Top Man); Snapping of wall ties and removal of tie rods; Soil Test operations of semi and unskilled labor such as filling sand bags; Striper (Asphalt, Concrete or other Paved Surfaces); Tool Room Attendant (Job Site); Traffic Delineating Device Applicator; Underpinning, lagging, bracing, propping and shoring, loading, signaling, right-of-way clearance along the route of movement, The clearance of new site, excavation of foundation when moving a house or structure from old site to new site; Utilities employees; Water Man; Waterscape/Hardscape Laborers; Wire Mesh Pulling (all concrete pouring operations); Wrecking, stripping, dismantling and handling concrete forms an false work.

 LABO0368-002 09/01/2014

	Rates	Fringes
Landscape & Irrigation		
Laborers		
GROUP 1.....	\$ 23.20	10.11
GROUP 2.....	\$ 23.70	10.11
GROUP 3.....	\$ 19.70	10.11

LABORERS CLASSIFICATIONS

GROUP 1: Installation of non-potable permanent or temporary irrigation water systems performed for the purposes of Landscaping and Irrigation architectural horticultural work; the installation of drinking fountains and permanent or temporary irrigation systems using potable water for Landscaping and Irrigation architectural horticultural purposes only. This work includes (a) the installation of all heads, risers, valves, valve boxes, vacuum breakers (pressure and non-pressure), low voltage electrical lines and, provided such work involves electrical wiring that will carry 24 volts or less, the installation of sensors; master control panels, display boards, junction boxes, conductors, including all other components for controllers, (b) and metallic (copper, brass, galvanized, or similar) pipe, as well as PVC or other plastic pipe including all work incidental thereto, i.e., unloading, handling and distribution of all pipes fittings, tools, materials and equipment, (c) all soldering work in connection with the above whether done by torch, soldering iron, or other means; (d) tie-in to main lines, thrust blocks (both precast and poured in place), pipe hangers and supports incidental to installation of the entire irrigation system, (e) making of pressure tests, start-up testing, flushing, purging, water balancing, placing into operation all irrigation equipment, fixtures and appurtenances installed under this agreement, and (f) the fabrication, replacement, repair and servicing of landscaping and irrigation systems.

Operation of hand-held gas, air, electric, or self-powered tools and equipment used in the performance of Landscape and Irrigation work in connection with architectural horticulture; Choke-setting, signaling, and rigging for equipment operators on job-site in the performance of such Landscaping and Irrigation work; Concrete work (wet or dry) performed in connection with such Landscaping and Irrigation work. This work shall also include the setting of rock, stone, or riprap in connection with such Landscape, Waterscape, Rockscape, and Irrigation work; Grubbing, pick and shovel excavation, and hand rolling or tamping in connection with the performance of such Landscaping and Irrigation work; Sprigging, handseeding, and planting of trees, shrubs, ground covers, and other plantings and the performance of all types of gardening and horticultural work relating to said planting; Operation of flat bed trucks (up to and including 2 1/2 tons)..

GROUP 2. Layout of irrigation and other non-potable irrigation water systems and the layout of drinking fountains and other potable irrigation water systems in connection with such Landscaping and Irrigation work. This includes the layout of all heads, risers, valves, valve boxes, vacuum breakers, low voltage electrical lines, hydraulic and electrical controllers, and metallic (coppers, brass, galvanized, or similar) pipe, as well as PVC or other plastic pipe. This work also includes the reading and interpretation of plans and specifications in connection with the layout of Landscaping, Rockscape, Waterscape, and Irrigation work; Operation of Hydro-Mulching machines (sprayman and driver), Drillers, Trenchers (riding type, Davis T-66, and similar) and fork lifts used in connection with the performance of such Landscaping and Irrigation work; Tree climbers and chain saw tree trimmers, Sporadic operation (when used in connection with Landscaping, Rockscape, Waterscape, and Irrigation work) of Skid-Steer Loaders (Bobcat and similar), Cranes (Bantam, Grove, and similar), Hoptos, Backhoes, Loaders, Rollers, and Dozers (Case, John Deere, and similar), Water Trucks, Trucks requiring a State of Hawaii Public Utilities Commission Type 5 and/or type 7 license, sit-down type and "gang" mowers, and other self-propelled, sit-down operated machines not listed under Landscape & Irrigation Maintenance Laborer; Chemical spraying using self-propelled power spraying equipment (200 gallon capacity or more).

GROUP 3: Maintenance of trees, shrubs, ground covers, lawns and other planted areas, including the replanting of trees, shrubs, ground covers, and other plantings that did not "take" or which are damaged; provided, however, that re-planting that requires the use of equipment, machinery, or power tools shall be paid for at the rate of pay specified under Landscape and Irrigation Laborer, Group 1; Raking, mowing, trimming, and runing, including the use of "weed eaters", hedge trimmers, vacuums, blowers, and other hand-held gas, air, electric, or self-powered tools, and the operation of lawn mowers (Note: The operation of sit-down type and "gang" mowers shall be paid for at the rate of pay specified under Landscape & Irrigation Laborer, Group 2); Guywiring, staking, propping, and supporting trees; Fertilizing, Chemical spraying using spray equipment with less than 200 gallon capacity, Maintaining irrigation and sprinkler systems, including the staking, clamping, and adjustment of risers, and the adjustment and/or replacement of sprinkler heads, (Note: the cleaning and gluing of pipe and fittings shall be paid for at the rate of pay specified under Landscape & Irrigation Laborer(Group 1); Watering by hand or sprinkler system and the performance of other types of gardening, yardman, and horticultural-related work.

LABO0368-003 09/01/2014

	Rates	Fringes
Underground Laborer		
GROUP 1.....	\$ 33.90	16.71
GROUP 2.....	\$ 35.40	16.71
GROUP 3.....	\$ 35.90	16.71
GROUP 4.....	\$ 36.90	16.71

GROUP 5.....	\$ 37.25	16.71
GROUP 6.....	\$ 37.50	16.71
GROUP 7.....	\$ 37.95	16.71

GROUP 1: Watchmen; Change House Attendant.

GROUP 2: Swamper; Brakeman; Bull Gang-Muckers, Trackmen; Dumpmen (any method); Concrete Crew (includes rodding and spreading); Grout Crew; Reboundmen

GROUP 3: Chucktenders and Cabetenders; Powderman (Prime House); Vibratorman, Pavement Breakers

GROUP 4: Miners - Tunnel (including top and bottom man on shaft and raise work); Timberman, Retimberman (wood or steel or substitute materials thereof); Blasters, Drillers, Powderman (in heading); Microtunnel Laborer; Headman; Cherry Pickerman (where car is lifted); Nipper; Grout Gunmen; Grout Pumpman & Potman; Gunite, Shotcrete Gunmen & Potmen; Concrete Finisher (in tunnel); Concrete Screed Man; Bit Grinder; Steel Form Raisers & Setters; High Pressure Nozzleman; Nozzleman (on slick line); Sandblaster-Potman (combination work assignment interchangeable); Tugger

GROUP 5: Shaft Work & Raise (below actual or excavated ground level); Diamond Driller; Gunite or Shotcrete Nozzleman; Rodman; Groundman

GROUP 6: Shifter

GROUP 7: Shifter (Shaft Work & Raiser)

PAIN1791-001 07/01/2014

	Rates	Fringes
Painters:		
Brush.....	\$ 34.35	26.20
Sandblaster; Spray.....	\$ 34.35	26.20

PAIN1889-001 07/01/2014

	Rates	Fringes
Glaziers.....	\$ 34.10	27.29

PAIN1926-001 02/24/2013

	Rates	Fringes
Soft Floor Layers.....	\$ 29.14	22.91

PAIN1944-001 01/01/2014

	Rates	Fringes
Taper.....	\$ 40.00	20.45

PLAS0630-001 09/02/2013

	Rates	Fringes
PLASTERER.....	\$ 37.64	23.22

PLAS0630-002 09/02/2013

	Rates	Fringes
Cement Masons:		
Cement Masons.....	\$ 36.80	23.22
Trowel Machine Operators....	\$ 36.95	23.22

PLUM0675-001 07/06/2014

	Rates	Fringes
Plumber, Pipefitter, Steamfitter & Sprinkler Fitter...\$	38.85	24.11

ROOF0221-001 09/07/2014

	Rates	Fringes
Roofers (Including Built Up, Composition and Single Ply).....	\$ 38.10	17.13

SHEE0293-001 09/01/2013

	Rates	Fringes
Sheet metal worker.....	\$ 37.25	22.73

SUHI1997-002 09/15/1997

	Rates	Fringes
Drapery Installer.....	\$ 13.60	1.20
FENCE ERECTOR (Chain Link Fence).....	\$ 9.33	1.65

WELDERS - Receive rate prescribed for craft performing
operation to which welding is incidental.
=====

Unlisted classifications needed for work not included within
the scope of the classifications listed may be added after
award only as provided in the labor standards contract clauses
(29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification
and wage rates that have been found to be prevailing for the
cited type(s) of construction in the area covered by the wage
determination. The classifications are listed in alphabetical
order of "identifiers" that indicate whether the particular
rate is union or non-union.

Union Identifiers

An identifier enclosed in dotted lines beginning with
characters other than "SU" denotes that the union
classification and rate have found to be prevailing for that
classification. Example: PLUM0198-005 07/01/2011. The first
four letters, PLUM, indicate the international union and the
four-digit number, 0198, that follows indicates the local union
number or district council number where applicable, i.e.,
Plumbers Local 0198. The next number, 005 in the example, is
an internal number used in processing the wage determination.
The date, 07/01/2011, following these characters is the
effective date of the most current negotiated rate/collective
bargaining agreement which would be July 1, 2011 in the above
example.

Union prevailing wage rates will be updated to reflect any
changes in the collective bargaining agreements governing the
rates.

0000/9999: weighted union wage rates will be published annually
each January.

Non-Union Identifiers

Classifications listed under an "SU" identifier were derived
from survey data by computing average rates and are not union
rates; however, the data used in computing these rates may
include both union and non-union data. Example: SULA2004-007
5/13/2010. SU indicates the rates are not union majority rates,
LA indicates the State of Louisiana; 2004 is the year of the
survey; and 007 is an internal number used in producing the
wage determination. A 1993 or later date, 5/13/2010, indicates
the classifications and rates under that identifier were issued

as a General Wage Determination on that date.

Survey wage rates will remain in effect and will not change until a new survey is conducted.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

Director of Transportation
869 Punchbowl Street
Honolulu, Hawaii 96813

Dear Sir:

The undersigned bidder declares the following:

1. It has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this proposal.
2. It has not been assisted or represented on this matter by any individual who has, in a State capacity, been involved in the subject matter of this contract within the past two years.
3. It has not and will not, either directly or indirectly offered or given a gratuity (i.e., an entertainment or gift) to any State or County employee to obtain a contract or favorable treatment under a contract.
4. It will not maintain for its employees any segregated facilities at any of its establishments.
5. Does not and will not permit its employees to perform their services at any location under its control, where segregated facilities are maintained.

The undersigned bidder further agrees to the following:

1. If this proposal is accepted, it shall execute a contract with the Department to provide all necessary labor, machinery, tools, equipment, apparatus and any other means of construction, to do all the work and to furnish all the materials specified in the contract in the manner and within the time therein prescribed in the contract, and that it shall accept in full payment therefore the sum of the unit and/or lump sum prices as set forth in the attached proposal schedule for the actual quantities of work performed and materials furnished and furnish satisfactory security in accordance with Section 103D-324, Hawaii Revised Statutes, within 10 days after the award of the contract or within such time as the Director of Transportation may allow after the undersigned has received the contract documents for execution, and is fully aware that non-compliance with the aforementioned terms will result in the forfeiture of the full amount of the bid guarantee required under Section 1032D-323, Hawaii Revised Statutes.
2. That the quantities given in the attached proposal schedule are approximate only and are intended principally to serve as a guide in determining and comparing the bids.

3. That the Department does not either expressly or by implication, agree that the actual amount of work will correspond therewith, but reserves the right to increase or decrease the amount of any class or portion of the work, or to omit portions of the work, as may be deemed necessary or advisable by the Director of Transportation, and that all increased or decreased quantities of work shall be performed at the unit prices set forth in the attached proposal schedule except as provided for in the specifications.
4. In case of a discrepancy between unit prices and the totals in said Proposal Schedule, the unit prices shall prevail.
5. Agrees to begin work within 10 working days after the date of notification to commence with the work, which date is in the notice to proceed, and shall finish the entire project within the time prescribed.
6. The Director of Transportation reserves the right to reject any or all bids and to waive any defects when in the Director's opinion such rejections or waiver will be for the best interest of the public.

The bidder acknowledges receipt of and certifies that it has completely examined the following listed items: Hawaii Standard Specifications for Road and Bridge Construction, 2005, Notice to Bidders, Special Provisions, Proposal, Contract, Bond Forms, and Project Plans.

In accordance with Section 103D-323, Hawaii Revised Statutes, this proposal is accompanied with a bid security in the amount of 5% of the total amount bid, in the form checked below. (Check applicable bid security submitted with bid.)

_____ Surety Bid Bond (Use standard form),

_____ Cash,

_____ Cashier's Check,

_____ Certified Check, or

(Fill in other acceptable security.)

The undersigned bidder acknowledges receipt of any addendum issued by the Department by recording in the space below the date of receipt.

Addendum No. 1 _____

Addendum No. 3 _____

Addendum No. 2 _____

Addendum No. 4 _____

In accordance with Section 103D-302, Hawaii Revised Statutes, the undersigned as bidder has listed the name of each person or firm, who will be engaged by the bidder on the project as Joint Contractor or Subcontractor and the nature of work to be done by each on the following page. It is understood that failure to comply with the aforementioned requirements may be cause for rejection of the bid submitted.

The undersigned bidder asserts that affirmative action has been taken to seek out and consider Underutilized Disadvantaged Business Enterprises (UDBE) for portions of the work which can be subcontracted, and the affirmative actions of the bidder are fully documented in it's records and are available upon request by the Department. It is also understood that it must meet or exceed the UDBE contract goal listed on page P-1, or demonstrate that it made good faith efforts to meet the UDBE project goal. The undersigned as bidder, agrees to utilize each participating UDBE that it submitted to meet the contract goal of _____ % (percentage to be completed by bidder) UDBE participation if the contract is awarded to it, and shall maintain such UDBE participation during the construction of this project.

SUBCONTRACTOR LISTING
(Attach additional sheets if necessary.)

	NAME OF FIRM	NATURE OF WORK	UDBE (Y/N)
1.	_____	_____	_____
1a ¹ .	_____	_____	_____
2.	_____	_____	_____
2a.	_____	_____	_____
3.	_____	_____	_____
3a.	_____	_____	_____
4.	_____	_____	_____
4a.	_____	_____	_____
5.	_____	_____	_____
5a.	_____	_____	_____
6.	_____	_____	_____
6a.	_____	_____	_____
7.	_____	_____	_____
7a.	_____	_____	_____

NOTES:

Firms claiming UDBE Status must be certified with HDOT prior to the bid opening date. Prime Bidder must reasonably assure itself that the listed firms claiming UDBE status are certified with HDOT as of the bid opening date.
The Name of Firm and Nature of Work shall be indicated for all firms.

¹ Second tier subcontractors

**JOINT CONTRACTOR, SUPPLIER AND
MANUFACTURER LISTING**

(Attach additional sheets if necessary.)

NAME OF FIRM	NATURE OF WORK	UDBE (Y/N)
JOINT CONTRACTOR:		
1. _____	_____	_____
1a. _____	_____	_____
SUPPLIER:		
1. _____	_____	_____
1a. _____	_____	_____
2. _____	_____	_____
2a. _____	_____	_____
MANUFACTURER:		
1. _____	_____	_____
1a. _____	_____	_____
2. _____	_____	_____
2a. _____	_____	_____

NOTES:

Firms claiming UDBE Status must be certified with HDOT prior to the bid opening date. Prime Bidder must reasonably assure itself that the listed firms claiming UDBE status are certified with HDOT as of the bid opening date.
The Name of Firm and Nature of Work shall be indicated for all firms.

¹ Second tier subcontractors

CONFIRMATION BY DBE

The undersigned DBE owner or his/her designee confirms that it is currently certified by the State Department of Transportation as a DBE in the field of work indicated below, and if selected for this contract, will perform work as specified by the apparent successful prime contractor.:

Licensed Subcontractor Trucker Supplier Manufacturer

Consultant Broker Vendor

Other, please specify _____

Primary NAICS Code: _____

Secondary NAICS Codes: _____

Description of Work to be Performed: _____

Name of DBE Firm: _____

DBE Address: _____

The undersigned submitted a bid proposal for:

(Project Name or Number)

(Name of Prime Contractor)

Signature of DBE Representative

Title

Date

UDBE Participation Report & Prompt Payment Certification

Contractor Name _____

Project Title _____

Contract No. _____ State Project No. _____ Federal Project No. _____

Project Award Date _____ UDBE Contract Goal (%) _____

Name of DBE/UDBE Subcontractors, Manufacturers & Suppliers	DBE/UDBE	Type of Service or Materials Provided	Payments		
			Previous	Current	To Date

A. Total Payments to UDBE _____

B. Invoice Amounts to Date _____

C. UDBE Participation to Date (A/B) _____

Name of Non-DBE Subcontractors, Manufacturers & Suppliers	Type of Service or Materials Provided	Payments		
		Previous	Current	To Date

Good Faith Efforts
 (Required when the DBE Participation percentage to date is less than the DBE contract goal.):

Prompt Payment Certification:

The undersigned hereby certifies that payments have been dispersed to all subcontractors within 10 (ten) calendar days after receipt of payment from the Department, in accordance with the terms of the subcontract. This clause applies to both DBE and non-DBE subcontractors. This declaration is made under penalty of perjury under the laws of the United States, and the Hawaii Penal Code, Section 710-1063, Hawaii Revised Statutes, regarding unsworn falsification to authorities and knowingly rendering a false declaration.

Name _____ Title _____

Telephone No. _____ E-mail address _____

Signature _____ Date _____

DOT USE ONLY: Final Payment

Total Federal DBE \$ expended: _____

Total Federal \$ expended: _____

Project Manager _____

Date: _____

DBE Participation Report & Prompt Payment Certification
Instructions

The DBE Participation Report & Prompt Payment Certification form is due with each progress payment request.

Contractor Name	Self-explanatory
Project Title	Self-explanatory
Contract No.	Self-explanatory
State Project No.	List project no. if applicable
Federal Project No.	List project no. if applicable
Project Award Date	Date on award letter
UDBE Contract Goal (%)	UDBE participation percentage provided at bid opening
List of DBE/UDBE Subcontractors, Manufacturers Suppliers	List both DBE and UDBE companies
DBE/UDBE	List D for DBE and U for UDBE
Type of Service or Material Provided	Self-explanatory
Payments:	
Previous	List last payment requested
Current	List current payment submitted
To Date	List total payment to date
A. Total Payment to UDBEs	List total amount being paid to UDBEs to date
B. Invoice Amount to Date	List total amount of payment requested to date
C. UDBE Participation to Date	Total paid to UDBEs divided by total invoice amount
Name of DBE or non-DBE Subcontractors, Manufacturers, and Suppliers	List name of company (non-DBE)
Type of Service or Materials Provided	Self-explanatory

Payments

Previous
Current
To Date

List last payment requested
List current payment submitted
List total payment to date

Good Faith Efforts

Complete if DBE participation (%) to date is less than contract goal. Explain why the participation may be lower than the contract goal (ei.g. UDBE has not commenced work yet). What efforts have been made to meet the contract goal?

Prompt Payment Certification

Name
Title
Telephone no.
Email address
Signature
Date

Name of officer authorized to sign on behalf of firm
Title of officer
Self-explanatory
Self-explanatory
Self-explanatory
Self-explanatory

UDBE Participation Report & Prompt Payment Certification

Contractor Name (UDBE Prime) _____

Project Title _____

Contract No. _____ State Project No. _____ Federal Project No. _____

Project Award Date _____ UDBE Contract Goal (%) _____

Name of DBE/UDBE Subcontractors, Manufacturers & Suppliers	DBE/UDBE	Type of Service or Materials Provided	Payments		
			Previous	Current	To Date

A. Invoice Amounts to Date _____
 B. Payments to Non-UDBE to Date _____
 C. UDBE Participation to Date (A-B/A) _____

Name of Non-DBE Subcontractors, Manufacturers & Suppliers	Type of Service or Materials Provided	Payments		
		Previous	Current	To Date

Good Faith Efforts
 (Required when the DBE Participation percentage to date is less than the DBE contract goal.):

Prompt Payment Certification:
 The undersigned hereby certifies that payments have been dispersed to all subcontractors within 10 (ten) calendar days after receipt of payment from the Department, in accordance with the terms of the subcontract. This clause applies to both DBE and non-DBE subcontractors. This declaration is made under penalty of perjury under the laws of the United States, and the Hawaii Penal Code, Section 710-1063, Hawaii Revised Statutes, regarding unsworn falsification to authorities and knowingly rendering a false declaration.

Name _____ Title _____
 Telephone No. _____ E-mail address _____
 Signature _____ Date _____

DOT USE ONLY: Final Payment _____ Total Federal DBE \$ expended: _____
 Total Federal \$ expended: _____
 Project Manager _____ Date: _____

DBE Participation Report & Prompt Payment Certification Form
UDBE Prime

Instructions

The DBE Participation Report & Prompt Payment Certification form is due with each progress payment request.

Contractor Name (UDBE Prime)	Self-explanatory
Project Title	Self-explanatory
Contract No.	Self-explanatory
State Project No.	List project no. if applicable
Federal Project No.	List project no. if applicable
Project Award Date	Date on award letter
UDBE Contract Goal (%)	UDBE participation percentage provided at bid opening
Name of DBE/UDBE Subcontractors, Manufacturers, and Suppliers	List both DBE and UDBE companies
DBE/UDBE	List D for DBE and U for UDBE
Type of Service or Materials Provided	Self-explanatory
Payments	
Previous	List last payment requested
Current	List current payment submitted
To Date	List total payment to date
Name of non-DBE Subcontractors, Manufacturers, and Suppliers	List non-DBE companies
Type of Service or Materials Provided	Self-explanatory
Payments	
Previous	List last payment requested
Current	List current payment submitted
To Date	List total payment to date

- A. Invoice Amounts to Date
- B. Payments to non-DBE to Date:
- C. UDBE Participation to Date

Good Faith Efforts

Prompt Payment Certification

Name
Title
Telephone no.
Email address
Signature
Date

List total amount of payment to date (including Prime)
Total paid to non-DBEs
Subtract payments to non-DBEs from the total amount paid
and divide balance by total amount paid (A-B/A)

Complete if UDBE participation (%) to date is less than
contract goal. Explain why the participation may be lower
than the contract goal (e.g. UDBE has not commenced work
yet). What efforts have been made to meet the contract goal?

Name of officer authorized to sign on behalf of firm
Title of officer
Self-explanatory
Self-explanatory
Self-explanatory
Self-explanatory