- 1 Make this section a part of the Standard Specifications:
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"SECTION 102 - BIDDING REQUIREMENTS AND CONDITIONS

102.01 Prequalification of Bidders. Prospective bidders shall be capable of performing the work for which they are bidding.

9 In accordance with HRS Chapter 103D-310, the Department may require 10 any prospective bidder to submit answers to questions contained in the 'Standard' Qualification Questionnaire For Prospective Bidders On Public Works Contracts' 11 furnished by the Department, properly executed and notarized, setting forth a 12 13 complete statement of the experience of such prospective bidder and its 14 organization in performing similar work and a statement of the equipment 15 proposed to be used, together with adequate proof of the availability of such 16 equipment. Whenever it appears to the Department, from answers to the questionnaire or otherwise, that the prospective bidder is not fully qualified and 17 able to perform the intended work, the Department will, after affording the 18 19 prospective bidder an opportunity to be heard and if still of the opinion that the 20 bidder is not fully qualified to perform the work, refuse to receive or consider any 21 bid offered by the prospective bidder. All information contained in the answers to 22 the questionnaire shall be kept confidential. Questionnaire so submitted shall be 23 returned to the bidders after serving their purpose.

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No person, firm or corporation may bid where (1) the person, firm, or corporation, or (2) a corporation owned substantially by the person, firm, or corporation, or (3) a substantial stockholder or an officer of the corporation, or (4) a partner or substantial investor in the firm is in arrears in payments owed to the State or its political subdivisions or is in default as a surety or failure to do faithfully and diligently previous contracts with the State.

32 **102.02 Contents of Proposal Forms.** The Department will furnish
 33 prospective bidders with proposal forms posted in HIePRO stating:

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- (1) The location,
- 37 (2) Description of the proposed work,
- 39 (3) The approximate quantities,
- 41 (4) Items of work to be done or materials to be furnished,
- 43 (5) A schedule of items, and
- 45 (6) The time in which the work shall be completed.
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47 Papers bound with or attached to the proposal form are part of the 48 proposal. The bidder shall not detach or alter the papers bound with or attached 49 to the proposal when the bidder submits its proposal through HIePRO.

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Also, the bidder shall consider other documents including the plans and 52 specifications a part of the proposal form whether attached or not.

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102.03 (Unassigned).

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102.04 Estimated Quantities. The quantities shown in the contract are approximate and are for the comparison of bids only. The actual quantity of work 57 may not correspond with the quantities shown in the contract. The Department 58 59 will make payment to the Contractor for unit price items in accordance with the 60 contract for only the following:

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Actual guantities of work done and accepted, not the estimated (1) quantities; or

Actual quantities of materials furnished, not the estimated (2) quantities.

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The Department may increase, decrease, or omit each scheduled 68 69 quantities of work to be done and materials to be furnished. When the 70 Department increases or decreases the estimated quantity of a contract item by 71 more than 15% the Department will make payment for such items in accordance with Subsection 104.06 - Methods of Price Adjustment. 72

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Examination of Contract and Site of Work. 74 102.05 The bidder shall 75 examine carefully the site of the proposed work and contract before submitting a 76 proposal. 77

78 By the act of submitting a bid for the proposed contract, the bidder 79 warrants that: 80

81 The bidder and its Subcontractors have reviewed the contract (1) 82 documents and found them free from ambiguities and sufficient for the purpose intended; 83 84

- 85 (2) The bidder and its workers, employees and subcontractors have the skills and experience in the type of work required by the contract 86 87 documents bid upon;
- 89 (3) Neither the bidder nor its employees, agents, suppliers or 90 subcontractors have relied upon verbal representations from the Department, its employees or agents, including architects, engineers or 91 consultants, in assembling the bid figure; and 92

93 The basis for the bid figure are solely on the construction contract (4) 94 documents. 95 96 Also, the bidder warrants that the bidder has examined the site of the 97 work. From its investigations, the bidder acknowledges satisfaction on: 98 99 The nature and location of the work; (1) 100 101 (2) The character, quality, and quantity of materials; 102 103 (3) The difficulties to be encountered; and 104 105 (4) The kind and amount of equipment and other facilities needed; 106 107 Subsurface information or hydrographic survey data furnished are for the 108 bidders' convenience only. The data and information furnished are the product of the Department's interpretation gathered in investigations made at the specific 109 locations. These conditions may not be typical of conditions at other locations 110 within the project area or that such conditions remain unchanged. 111 Also. conditions found at the time of the subsurface explorations may not be the same 112 conditions when work starts. The bidder shall be solely responsible for 113 114 assumptions, deductions, or conclusions the bidder may derive from the 115 subsurface information or data furnished. 116 117 If the Engineer determines that the natural conditions differ from that originally anticipated or contemplated by the Contractor in the items of 118 excavation, the State may treat the difference in natural conditions, as falling 119 within the meaning of Subsection 104.02 - Changes. 120 121 122 **Preparation of Proposal.** The submittal of its proposal shall be on 102.06 forms furnished by the Department. The bidder shall specify in words or figures: 123 124 125 (1) A unit price for each pay item with a quantity given; 126 127 (2) The products of the respective unit prices and quantities 128 129 (3) The lump sum amount; and 130 131 (4) The total amount of the proposal obtained by adding the amounts of the several items. 132 133 134 The words and figures shall be in ink or typed. If a discrepancy occurs between the prices written in words and those written in figures, the prices written 135 in words shall govern. 136 137

When an item in the proposal contains an option to be made, the bidder
shall choose in accordance with the contract for that particular item.
Determination of an option will not permit the Contractor to choose again.

142 The bidder shall sign the proposal properly in ink. A duly authorized 143 representatives of the bidder or by an agent of the bidder legally qualified and 144 acceptable to the Department shall sign, including one or more partners of the 145 bidder and one or more representatives of each entity comprising a joint venture. 146

When an agent, other than the officer(s) of a corporation authorized to sign contracts for the corporation or a partner of a partnership, signs the proposals, a 'Power of Attorney' shall be on file with the Department or submitted with the proposal. Otherwise, the Department will reject the proposal as irregular and unauthorized.

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153 The bidder shall submit acceptable evidence of the authority of the 154 partner, member(s) or officer(s) to sign for the partnership, joint venture, or 155 corporation respectively with the proposal. Otherwise, the Department will reject 156 the proposal as irregular and unauthorized.

- 158 **102.07 Irregular Proposals.** The Department may consider proposals 159 irregular and may reject the proposals for the following reasons:
- 161 **(1)** The proposal is a form not furnished by the Department, altered, or detached;
- 164 **(2)** The proposal contains unauthorized additions, conditions, or 165 alternates. Also, the proposal contains irregularities that may tend to 166 make the proposal incomplete, indefinite, or ambiguous to its meaning; 167
- 168(3) The bidder adds provisions reserving the right to accept or reject an169award. Also, the bidder adds provisions into a contract before an award;
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 171 (4) The proposal does not contain a unit price for each pay item listed
 172 except authorized optional pay items; and
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- 174 (5) Prices for some items are out of proportion to the prices for other
 175 items.
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- 177 (6) If in the opinion of the Director, the bidder and its listed
 178 subcontractors do not have the Contactor's licenses or combination of
 179 Contractor's licenses necessary to complete the work.

181 Where the prospective bidder is bidding on multiple projects 182 simultaneously and the proposal limits the maximum gross amount of awards 183 that the bidder can accept at one bid letting, the proposal is not irregular if the 184 limit on the gross amount of awards is clear, and the Department selects the 185 awards that can be given. 186

187 **102.08 Proposal Guaranty.** The Department will not consider a proposal of
 \$25,000 or more unless accompanied by:

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217 218 (1) A deposit of legal tender; or

192 (2) A valid surety bid bond, underwritten by a company licensed to
193 issue bonds in the State of Hawaii, in the form and composed,
194 substantially, with the same language as provided herewith and signed by
195 both parties; or

- 197 (3) A certificate of deposit, share certificate, cashier's check,
 198 treasurer's check, teller's check, or official check drawn by, or a certified
 199 check accepted by and payable on demand to the State by a bank,
 200 savings institution, or credit union insured by the Federal Deposit
 201 Insurance Corporation (FDIC) or the National Credit Union Administration
 202 (NCUA).
 - (a) The bidder may use these instruments only to a maximum of \$100,000.
- 207(b) If the required security or bond amount totals over \$100,000208more than one instrument not exceeding \$100,000 each and issued209by different financial institutions shall be acceptable.
 - (c) The instrument shall be made payable at sight to the Department.
 - (d) Proposal Guaranty listed in (1) and (3) shall be in its original form, and shall be received at the Contracts Office, Department of Transportation, 869 Punchbowl Street, Honolulu, Hawaii 96813 before the bid deadline.
- In accordance with HRS Chapter 103D-323, the above shall be in a sum not less than 5% of the amount bid.
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102.09 Delivery of Proposal. The bidder shall submit the proposal in
HIePRO. Bids received after said due date and time shall not be considered.
Original bid documents do not have to be submitted. Award will be made based
on proposals submitted in HIePRO.

102.10 Withdrawal or Revision of Proposals. A bidder may withdraw or
 revise a proposal after the bidder submits the proposal in HIePRO. Withdrawal
 or revision of proposal must be completed before the time set for the receiving of
 bids.

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232 **102.11 Public Opening of Proposals.** Not applicable.

102.12 Disqualification of Bidders. The Department may disqualify a bidder
 and reject its proposal for the following reasons:

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(1) Submittal of more than one proposal whether under the same or different name.

(2) Evidence of collusion among bidders. The Department will not
 recognize participants in collusion as bidders for any future work of the
 Department until such participants are reinstated as qualified bidders.

- 244 (3) Lack of proposal guaranty.
- 246 (4) Submittal of an unsigned or improperly signed proposal.
- 248 **(5)** Submittal of a proposal without a listing of subcontractors or containing only a partial or incomplete listing of subcontractors.
- 251 (6) Submittal of an irregular proposal in accordance with Subsection
 252 102.07 Irregular Proposals.

(7) Evidence of assistance from a person who has been an employee
of the agency within the preceding two (2) years and who participated
while in State office or employment in the matter with which the contract is
directly concerned, pursuant to HRS Chapter 84-15.

- 259 (8) Suspended or debarred in accordance with HRS Chapter 104-25.
- 261 (9) Failure to complete the prequalification questionnaire, if applicable.
- 263 (10) Failure to attend the mandatory pre-bid meeting, if applicable.

102.13 Material Guaranty. The successful bidder may be required to furnish
 a statement of the composition, origin, manufacture of materials, and samples.

102.14 Substitution of Materials and Equipment Before Bid Opening. See
 Subsection 106.13 for Substitution Of Materials and Equipment After Bid
 Opening.

272 General. When brand names of materials or equipment are (A) 273 specified in the contract documents, they are to indicate a quality, style, 274 appearance, or performance and not to limit competition. The bidder shall 275 base its bid on one of the specified brand names unless alternate brands are qualified as equal or better in an addendum. Qualification of such 276 277 proposed alternate brands shall be submitted via email to the Contact 278 person listed in HIePRO for the solicitation and also post a question in 279 HIePRO under the guestion/answer tab referencing the email with the 280 request. The request must be posted in HIePRO no later than fourteen 281 (14) calendar days before the bid opening date, not including the bid 282 opening date.

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An addendum will be issued to inform all prospective bidders of any accepted substitution in accordance with Subsection 102.17 – Addenda.

- 287 Statement of Variances. The statement of variances must list all **(B)** 288 features of the proposed substitution that differ from the contract 289 documents and must further certify that the substitution has no other 290 variant features. The brochure and information submitted shall be clearly 291 marked showing make, model, size, options, and any other features 292 requested by the Engineer and must include sufficient evidence to 293 evaluate each feature listed as a variance. A request will be denied if 294 submitted without sufficient evidence. If after installing the substituted 295 product, an unlisted variance is discovered, the Contractor shall 296 immediately replace the product with a specified product at no increase in 297 contract price and contract time.
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- **(C) Substitution Denial.** Any substitution request not complying with the above requirements will be denied.
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 - **102.15 Preferences.** Preferences shall not apply to this project.

304 **102.16 Certification for Safety and Health Program for Bids in excess of** 305 **\$100,000.** In accordance with HRS Chapter 396-18, the bidder or offeror, by 306 signing and submitting this proposal, certifies that a written safety and health plan 307 for this project will be available and implemented by the notice to proceed date 308 for this project. Details of the requirements of this plan may be obtained from the 309 State Department of Labor and Industrial Relations, Occupational Safety and 310 Health Division (HIOSH).

102.17 Addenda. Addenda issued shall become part of the contract documents. Addenda to the bid documents will be provided to all prospective bidders via HIePRO. Each addendum shall be an addition to the contract documents. The terms and requirements of the bid documents (i.e., drawings, specifications and other bid and contract documents) cannot be changed prior to the bid opening except by a duly issued addendum."

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END OF SECTION 102