

1 Amend Section 203 - Excavation and Embankment to read as follows:

2  
3 **"SECTION 203 - EXCAVATION AND EMBANKMENT**

4  
5 **203.01 Description.** This section describes excavating, hauling, and  
6 disposing of surplus excavated material and placing and compacting specified  
7 materials necessary to construct project.

8  
9 Roadway excavation includes excavating and compacting, or disposing  
10 of, all materials of whatever character encountered in the work.

11  
12 For terminology used in this section, refer to Section 101 – Definitions  
13 and Terms and ASTM D 653 – Standard Terminology Relating to Soil, Rock,  
14 and Contained Fluids.

15  
16 **203.02 Materials.**

17  
18 **(A) Unsuitable Material.** Soils that cannot be properly compacted,  
19 or soils that have roots or other organic matter, garbage, Debris, junk,  
20 or any deleterious matter on the surface of buried.

21  
22 **(B) Excavated Material.** All material excavated from project site for  
23 roadway contraction.

24  
25 **(C) Selected Material.** Suitable excavated material for specific use  
26 from areas within the highway right-of-way.

27  
28 **(D) Borrow Excavated Material.** Accepted materials from  
29 designated borrow sources outside right-of-way or excavation limits,  
30 conforming to the requirements of Subsection 106.02 – Material Sources.  
31 Borrow excavated material shall conform to the size and quality specified  
32 in the contract documents. When the contract documents do not  
33 specify size of quality, borrow excavated material shall be of a quality  
34 source suitable for the purpose intended. Borrow excavated material  
35 shall be free of any roots or other organic, garbage, trash, junk, or other  
36 deleterious material.

37  
38 **203.03 Construction Requirements.** Clear and grub in accordance with  
39 Section 201 - Clearing and Grubbing before excavating. Excavate and  
40 embank road, road intersections and road entrances to a smooth and uniform  
41 surface. Excavate so as not to disturb material outside limits of slopes or limits  
42 of grading.

43  
44 **(A) Excavation.**

46 (1) **General.** Obliterate old roadways according to Section  
47 202 - Removal of Structures and Obstructions. Blasting will not  
48 be allowed.  
49

50 When encountering possible archaeological, historical, or  
51 burial site findings, comply with the requirements of Subsection  
52 107.13(B) - Archaeological, Historic, and Burial Sites.  
53

54 (2) **Widening or Flattening Cut Slopes.** Submit proposed  
55 locations for widening or flattening planned cut slopes to obtain  
56 material required:  
57

58 (a) For embankment construction;  
59

60 (b) To preclude the opening of unsightly borrow pits; or  
61

62 (c) To increase the stability of cut slopes.  
63

64 Do not proceed with proposed slope widening or  
65 flattening until the Engineer accepts proposed locations.  
66

67 (3) **Cut Slopes.** Round the tops and ends of cut slopes  
68 according to the contract documents.  
69

70 Finish soil cut slopes that are flatter than one-half horizontal  
71 to one vertical true and straight in accordance with slope lines and  
72 grades shown in the contract documents.  
73

74 Finish cut slopes that are one-half horizontal to one vertical  
75 or steeper in rock excavation in a rough condition, with debris and  
76 loose material removed. When completed, the average plane of  
77 excavated slopes shall conform to the slopes shown in the contract  
78 documents. No points shall vary from planned slopes by more  
79 than 6 inches when measured at right angle to the slope.  
80

81 (4) **Potential Slide Areas.** Excavate and remove unstable  
82 material by:  
83

84 (a) Benching to lines and grades designated by the  
85 Engineer; or  
86

87 (b) Excavating material to a slope designated by the  
88 Engineer, from an elevation at or near roadway grade;  
89

90 Use this material in roadway construction or dispose of when  
91 ordered by the Engineer.  
92

93                   **(5) Subexcavation.**     When excavation to the finish grade  
94 results in a subgrade or slopes of unsuitable material as defined in  
95 Subsection 203.02 – Materials, the Engineer will require:

96  
97                   (a)     Removing unsuitable material and

98  
99                   (b)     Backfilling to finished grade with acceptable material  
100 in accordance with Subsection 203.03(C) – Embankment  
101 Construction.

102  
103                   Notify the Engineer two weeks prior to the start of  
104 subexcavation operations. The Engineer will perform necessary  
105 cross-sectional measurements before authorizing backfill  
106 placement.

107  
108                   **(B)           Excavated Material.**

109  
110                   **(1)     Selected Material.**     Use selected material for:

111  
112                   (a)     Embankment fill;

113  
114                   (b)     Finishing the top portion of the roadbed;

115  
116                   (c)     Constructing roadbed shoulders;

117  
118                   (d)     Structure backfill;

119  
120                   (e)     Constructing berms,

121  
122                   (f)     Erosion control;

123  
124                   (g)     Landscaping; and

125  
126                   (h)     Other purposes in accordance with the contract  
127 documents.

128  
129                   Place selected material on the roadbed in accordance with  
130 Subsection 203.03(C) - Embankment Construction and selected  
131 topsoil for erosion control in accordance with Section 209 –  
132 Temporary Water Pollution, Dust, and Erosion Control.

133  
134                   Keep selected material in place until it can be hauled, and  
135 compacted in its final position.                   If allowed by the Engineer,  
136 selected material may be stockpiled at locations accepted by the  
137 Engineer, for later placement in final position.  
138

139 The Engineer will not include for payment as stockpiled  
140 material, selected material placed in windrows along tops of  
141 roadway slopes for erosion control work.

142  
143 Unsuitable material; will become the property of the  
144 Contractor and be hauled away at no increase in contract price or  
145 contract time.

146  
147 **(2) Borrow Material.** Arrange to obtain borrow material and  
148 pay the costs involved. Notify the Engineer 20 working days  
149 before opening borrow areas. Allow sufficient time for testing the  
150 borrow material by the Engineer.

151  
152 Control of borrow material shall be according to Section 106  
153 - Control of Materials.

154  
155 Excavate to dimensions and elevations established for  
156 borrow pit. Remove borrow material after the Engineer  
157 completes staking out and cross sectioning of the borrow  
158 excavated and in-place sites for measurement and payment  
159 purposes. Establish and specify the finished borrow areas  
160 approximately true to line and grade. Complete the finished  
161 borrow areas so no water may collect or stand therein.

162  
163 Do not place borrow material until after placing the selected  
164 material in fill.

165  
166 When it is necessary to remove an existing fence, replace it  
167 with a comparable fence after work that required fence removal has  
168 been completed. If necessary, confine livestock during fence  
169 removal. When required for security purposes, provide and  
170 maintain temporary fencing. Furnish and install permanent  
171 fencing after temporary fencing is no longer needed.

172  
173 **(3) Surplus Selected Material.** Unless otherwise shown in  
174 the contract documents, and not over soft ground, use surplus  
175 selected material when and in locations accepted by the Engineer  
176 to: widen embankments uniformly or flatten slopes; dispose of  
177 along roadway or other designated locations. Dispose of surplus  
178 selected material below adjacent roadbed grade. Complete  
179 embankments before disposing of surplus selected material.

180  
181 When indicated in the contract documents, the quantity of  
182 surplus selected material is approximate only. Replace shortage  
183 of material caused by premature disposal of surplus selected  
184 material at no increase in contract price or contract time.

186                   Upon completion of disposal operations, grade disposal  
187 areas to provide a level surface.       Unusual surplus selected  
188 material shall become the Contractor's property.       Supply  
189 topographic map of disposal area.

190  
191       **(4) Highly Sensitive Soil.**       When soil having high moisture  
192 content, loses its stability and becomes plastic or muddy, excavate  
193 with the least manipulation or churning of soil.

194  
195       **(C) Embankment Construction.**

196  
197       **(1) General.**       Use suitable excavated or borrow material in the  
198 construction of embankments.       Do not place rocks, broken  
199 concrete, or other solid materials in embankment areas where  
200 deep foundations such as driven piles or drilled shafts are to be  
201 placed.

202  
203                   When placing and compacting material for embankment on  
204 hillsides and existing embankments, or building embankment half  
205 width at a time continuously bench slopes that are steeper than  
206 four horizontal to one vertical while bringing the work up in layers.

207  
208                   Benching shall be of sufficient width to permit construction  
209 equipment to operate.       Begin each horizontal cut at the  
210 intersection of original ground and the vertical sides of the previous  
211 cuts.       Recompact the material cut along with the new  
212 embankment material.

213  
214                   When embankments across swampy ground cannot support  
215 the weight of trucks or other hauling equipment, the lower part of  
216 the fill may be constructed by dumping successive loads of gravel,  
217 cobbles, and boulders in a uniformly distributed layer of a  
218 thickness not greater than necessary or use permeable separator  
219 with granular material of adequate thickness to support vehicle  
220 placing the layers. Construct remainder of embankment according  
221 to the contract documents.

222  
223                   When depositing embankment material on only one side of  
224 abutments, wingwalls, piers, or culvert headwalls, do not  
225 overcompact the area next to the structure.       Do not place fill next  
226 to the end bent of a bridge higher than the bottom of the backwall  
227 of the bent until after the superstructure is in place.       Conduct  
228 operations so that the embankment is constructed at approximately  
229 the same elevation on both sides of the structure at the same time.

231 Finish embankment slopes as shown in the contract  
232 documents, to approximate lines and grades established and such  
233 that slopes contain no unsightly or undue irregularities.

234  
235 Place embankment material in horizontal layers not  
236 exceeding 8 inches in loose thickness. Compact as specified  
237 before placing the next layer. Spread each lift to get uniform  
238 thickness before compacting. Level and manipulate continuously  
239 to ensure uniform density as compaction of each layer progresses.  
240 Add or remove water to obtain required density. Route  
241 construction equipment uniformly over entire surface of each layer.

242  
243 When embankment material consists predominantly of rock  
244 fragments, hardpan or cemented gravel that cannot be broken  
245 readily, and includes 25 percent or more of materials larger than 6  
246 inches in greatest dimension but less than 30 inches in greatest  
247 dimension, place such material in the embankment in layers not  
248 exceeding 3 feet. Uniformly distribute such material throughout  
249 the embankment. Construct lifts below an elevation 3 feet below  
250 pavement structure. Construct balance of embankment of  
251 suitable material, smooth and place in layers not exceeding 8  
252 inches in loose thickness. Compact as specified for  
253 embankments.

254  
255 While depositing embankment material place sufficient earth  
256 or other fine material around large material to fill interstices and to  
257 produce a dense compact embankment. Furnish earth or other  
258 fine material to fill the interstices when not available in excavated  
259 material.

260  
261 Processing embankment material to reduce maximum size  
262 of particles so that material can be placed in specified lifts.

263  
264 Deposit embankment material having an SE value equal to  
265 or greater than 15 within the top 3 feet of the embankment  
266 excluding pavement structure. Place embankment material  
267 below the top 3 feet of embankment grade with material having  
268 sand equivalent value equal to or greater than area being filled.  
269 Break up clods or hard lumps of earth over 6 inches in greatest  
270 dimension before compacting material in embankment, except as  
271 provided above.

272  
273 Construct the center of embankment layers slightly higher  
274 than the sides. Construct sidehill embankments with the  
275 intersection of original ground and the embankment being the high  
276 point of the layer. Uniformly slope embankment to the outer side.  
277 For embankment layers, do not exceed a cross fall of one foot in  
278 20 feet.

Caves are often present in lava formations. The Engineer will determine if caves are too close to the road surface. Excavate to open their tops when ordered by the Engineer. Fill and compact caves with accepted materials.

Maintain stability of constructed embankments until final acceptance of the contract documents. Maintain embankments to grade and cross section shown in the contract documents. Replace portions that become displaced or damaged prior to acceptance at no increase in contract price or time.

**(2) Relative Compaction Test.** The relative compaction test is a procedure for determining the ratio of the dry unit weight (density) of in-place soil to the maximum dry unit weight of the same soil, as determined by the following methods:

**(a) Maximum Dry Unit Weight.** Test for maximum dry unit weight according to AASHTO T 180 for soil aggregate mixtures with less than 35 percent passing the No. 200 sieve, and for soils with 35 percent or more passing the No. 200 sieve, having sand equivalent of 15 or more. Test for maximum unit weight in accordance with AASHTO T 99 for soils with 35 percent or more passing the No. 200 sieve, having sand equivalent of less than 15. Perform percent passing No. 200 sieve and sand equivalent tests in accordance with AASHTO T 11 and AASHTO T 176, respectively. For both maximum dry unit weight tests, use Method "A" if the particles are under No. 4 mesh in size, and Method "D" if the particles are over No. 4 mesh in size, and apply the correction for fraction over 3/4-inch. Use Hawaii Test Method HDOT TM 5 for sample preparation of sensitive soils when so designated by the Engineer.

**(b) Density of Soil In-Place.** Test for soil in-place density in accordance with Hawaii Test Method HDOT TM 1, HDOT TM 2, and HDOT TM 3.

**(3) Compaction of Embankment With Moisture And Density Control.** When original ground surface in embankment sections is within 3 feet of finished profile grade, the original ground contained in the prism within 3 feet of the finished grade and within the width of the traveled way plus 3 feet on each side, shall have a relative compaction of 95 percent or more.

When original ground within 3 feet of the finished grade does not conform to the specified relative compaction, excavate

material until specified relative compaction is achieved or to 3 feet below finished grade.

When material 3 feet 6 inches below finished grade does not have a relative compaction of at least 90 percent, compact material until 90 percent or more relative compaction is attained. After compacting lower 6 inches to a relative compaction of 90 percent, backfill excavated material or other material designated in the excavated area. Place backfill material in layers not exceeding 8 inches in loose thickness before compaction. Compact each layer to a relative compaction of 95 percent or more.

Construct embankments in layers not to exceed 8 inches in loose thickness except as specified in Subsection 203.03(C)(4) - Compaction of Embankment Without Moisture and Density Control. Compact each layer within 3 feet of finished grade to 95 percent or more relative compaction. Compact material below a plane 3 feet below finished grade to 90 percent or more relative compaction.

**(4) Compaction Of Embankment Without Moisture And Density Control.** Compact rock embankments to the maximum compaction obtainable by routing loaded hauling equipment over the entire width of the layer and supplemented by using rollers accepted by the Engineer. At the start of construction, conduct a test program to determine the number of passes of a compactor needed to achieve the required compaction. The number of passes will then be used as the field criterion for compaction.

Keep dumping and rolling areas separately. Do not cover lift by another until achieving compaction in accordance with this subsection.

**(D) Subgrade Preparation.** Prepare subgrade to the required density, cross section, and grade.

**(1) General.** Prepare subgrade after completing and backfilling drainage facilities and structures and compacting earthwork.

Remove rocks or lumps and fill voids with suitable materials. Material used to fill voids shall conform to specified material to be placed on the subgrade.

**(2) Density Requirement.** Compact finished subgrade to a relative compaction of 95 percent for a depth of 6 inches immediately before placing subsequent material thereon.



(3) **Surface Tolerances of Subgrade.** Finish subgrade upon which pavement structure is placed shall not vary more than 0.04-foot above or below theoretical grade.

**203.04 Method of Measurement.** The Engineer will measure:

(A) Roadway excavation per cubic yard. The Engineer will compute the quantities of roadway excavation by average end area method and centerline distances. Curvature correction will not be applied to quantities within roadway prism, as shown in the contract documents. In computing excavation quantities from outside the roadway prism, where roadway centerline is used as a base, curvature correction will be applied when the centerline radius is 1,000 feet or less.

When roadway excavation quantities by average end area method cannot be computed due to the nature of a particular operation or changed conditions, the Engineer will determine and use computation method that will produce an accurate quantity estimate.

(B) Borrow excavated material per cubic yard. The Engineer will compute quantities of borrow material incorporated into the work on a volume basis using average end area method in place at the work site.

The Engineer will include binder material entering and becoming a part of borrow excavated material placed on roadbed in volume of borrow excavated material when the average end area method is used to calculate the in-place volume.

(C) Selected material for planting soil and selected material for decorative boulder is paid on a lump sum basis. Measurement does not apply.

**203.05 Basis of Payment.** The Engineer will pay for the accepted pay items listed below at the contract price per pay unit, as shown in the proposal schedule. Payment will be full compensation for the work prescribed in this section and the contract documents.

The Engineer will pay for each of the following pay items when included in the proposal schedule:

Pay Item	Pay Unit
Roadway Excavation	Cubic Yard

The Engineer will pay for:

- 419 (1) 15% of the contract bid price upon completion of obliterating old  
420 roadways and hauling;  
421  
422 (2) 30% of the contract bid price upon completion of preparing the  
423 subgrade;  
424  
425 (3) 40% of the contract bid price upon completion of placing selected  
426 material in final position, rounding of slopes; and using water for  
427 compaction;  
428  
429 (4) 15% of the contract bid price upon completion of disposing surplus  
430 excavation material;  
431

432 Borrow Excavated Material

Cubic Yard

433  
434 The Engineer will pay for:  
435

- 436 (1) 10% of the contract bid price upon completion of staking out and  
437 cross sectioning the existing condition at the borrow excavated and in-  
438 place sites and establishing the borrow area;  
439  
440 (2) 5% of the contract bid price upon completion of providing,  
441 replacing, and maintaining temporary and permanent fencing and  
442 confining livestock  
443  
444 (3) 15% of the contract bid price upon completion of all necessary  
445 storing and processing of the borrow material;  
446  
447 (4) 15% of the contract bid price upon completion of watering; hauling  
448 the material to the work site;  
449  
450 (5) 20% of the contract bid price upon completion of placing, grading,  
451 compacting the material in accordance with contract requirements at the  
452 work site  
453  
454 (6) 15% of the contract bid price upon completion of restoring and  
455 regrading the borrow area;  
456  
457 (7) 10% of the contract bid price upon completion of staking out and  
458 cross sectioning the final condition at the borrow excavated and in-place  
459 sites;  
460  
461 (8) 10% of the contract bid price upon completion of removing and  
462 disposing of excess and unsuitable material from the work site  
463

464 Selected Material for Planting Soil

Lump Sum

465

Selected Material for Decorative Boulder

Lump Sum

The Engineer will pay for accepted quantities of slide material excavated and removed from the following areas; as roadway excavation at the contract unit price per cubic yard: within planned roadway prism for slide material from outside planned roadway slopes that has slid onto the roadway; and potential slide areas outside planned roadway slopes, as ordered by the Engineer. Only quantities of slide material that are either reused in roadway construction or disposed of, as ordered by the Engineer, will be accepted for payment. Payment will be full compensation for work prescribed in this subsection, Subsection 203.03(A)(4) – Potential Slide Areas, and the contract documents.

The Engineer will pay for accepted quantities of subexcavation, as roadway excavation at the contract unit price per cubic yard, when ordered by the Engineer, for work prescribed in Subsection 203.03(A)(5) – Subexcavation. Payment will be full compensation for the work prescribed therein and in the contract documents.

The Engineer will pay for accepted quantities of unlined gutter excavation as roadway excavation at the contract unit price per cubic yard, when gutter is located as follows: within the median area of a divided highway; and between roadbed shoulder and adjacent cut slope. Payment will be full compensation for removing and disposing of excavated material; backfilling and compacting; and for the work prescribed in the contract documents.

The Engineer will not pay for stockpiling selected material or placing selected material in final position separately and will consider the cost as included in the unit prices for the various excavation contract pay items. The cost is for work prescribed in this section and the contract documents.

The Engineer will not pay for selected material from ditch, channel, or structure excavation, when used instead of borrow excavation.

The Engineer will not pay for overhaul separately and will consider the cost as included in the unit prices for the various excavation contract pay items. The cost is for work prescribed in this section and the contract documents.

The Engineer will not pay for embankment separately and will consider the cost as included in the unit price for roadway excavation. The cost is for work prescribed in this section and the contract documents."

**END OF SECTION 203**